

# ACHIEVE YOUR AGENCY'S OBJECTIVES USING AUTOMATED TRAFFIC SIGNAL PERFORMANCE MEASURES

INSTITUTE OF TRANSPORTATION ENGINEERS WEBINAR PART 1 – APRIL 9, 2014

# ITE Webinar Series on Automatic Traffic Signal Performance Measures (SPMs)

- ▶ Achieve Your Agency's Objectives Using SPMs  
April 9, 2014 12:00 pm to 1:30 pm.
- ▶ SPMs Case Studies  
May 7, 2014 12:00 pm to 1:30 pm.
- ▶ Critical Infrastructure Elements for SPMs  
June 11, 2014 12:00 pm to 1:30 pm.

# Automated Traffic Signal Performance Measures

## Technology Implementation Group: 2013 Focus Technology

<http://tig.transportation.org/>

Mission: Investing time and money to accelerate its  
adoption by agencies nationwide



# Your Speakers Today

Darcy Bullock



Jim Sturdevant



Rob Clayton



Rick Denney





# ACHIEVE YOUR AGENCY'S OBJECTIVES USING AUTOMATED TRAFFIC SIGNAL PERFORMANCE MEASURES



**INSTITUTE OF TRANSPORTATION ENGINEERS WEBINAR PART 1 – APRIL 9, 2014**

PRESENTED BY DARCY BULLOCK, PURDUE UNIVERSITY, APRIL, 9 2013

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**INSTITUTE OF TRANSPORTATION ENGINEERS WEBINAR PART 1 – APRIL 9, 2014**

PRESENTED BY JIM STURDEVANT, INDOT, APRIL, 9 2013

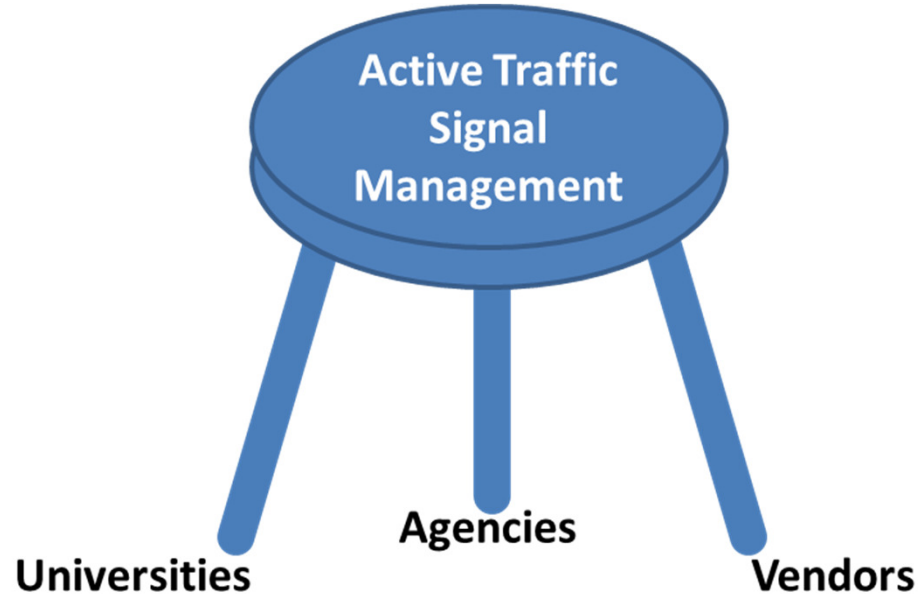


# How did we get here- Indiana Perspective

## INDIANA HISTORY AND PATH TO SPM

- Purdue / INDOT Partnership
- Shared Vision
- Industry Collaboration

# Emerging Shared Vision



1. Develop infrastructure and procedures to systematically prioritize investing engineering resources
2. Assess that impact

# Dual Cabinets at Purdue 1998-2000



Photo: Indiana Joint Transportation Research Program

# Signal Cabinet (INDOT)

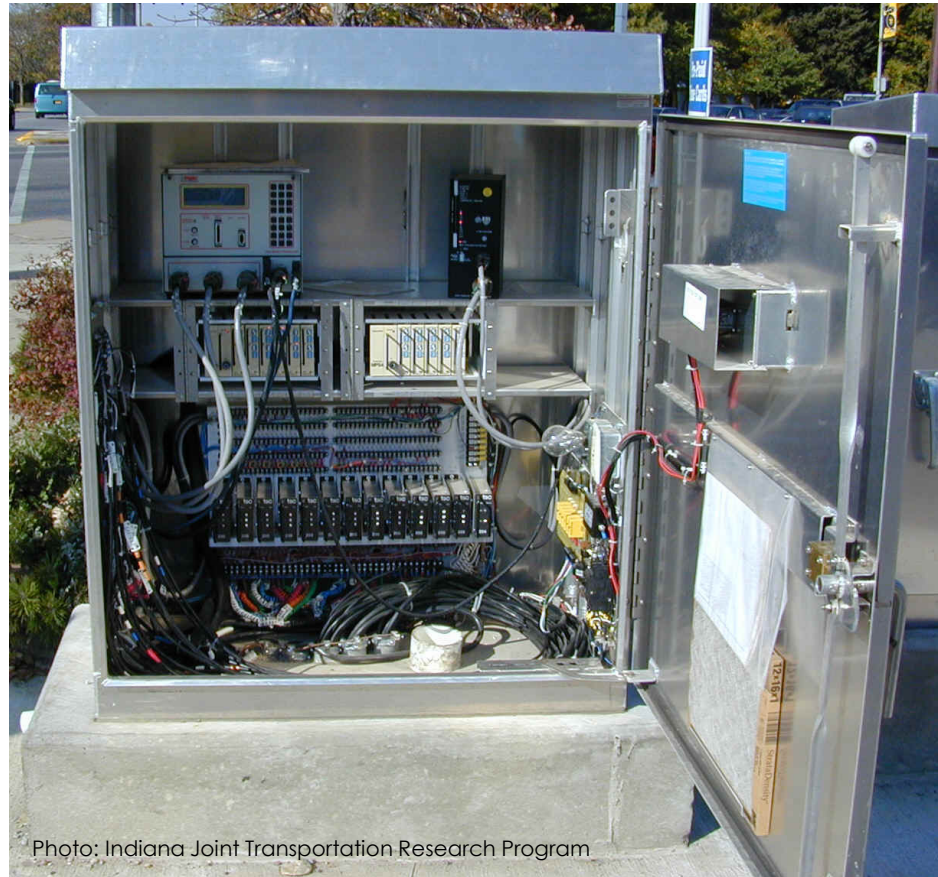


Photo: Indiana Joint Transportation Research Program



# Instrumentation Cabinet (Purdue)

- ▶ Fiber Connection
- ▶ Video Modems
- ▶ IP Based I/O Monitoring

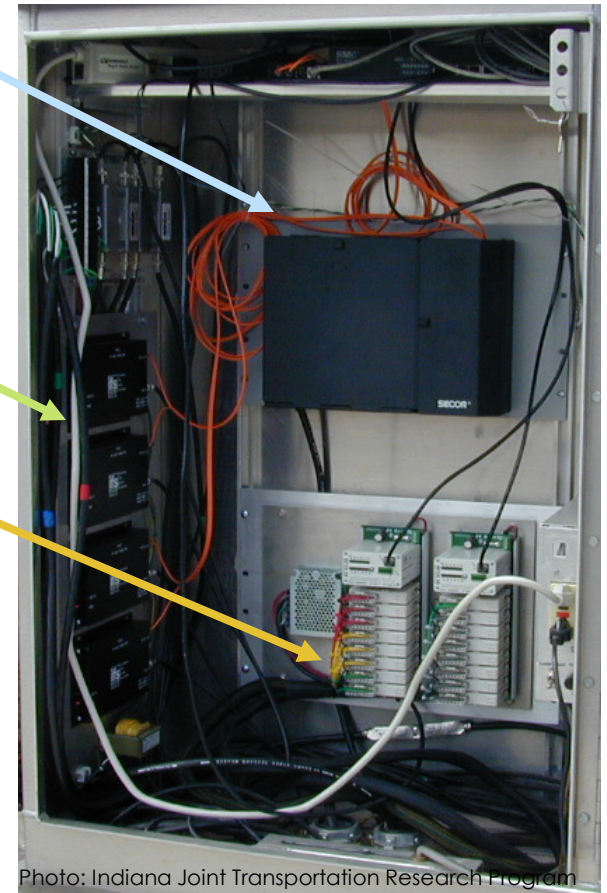


Photo: Indiana Joint Transportation Research Program

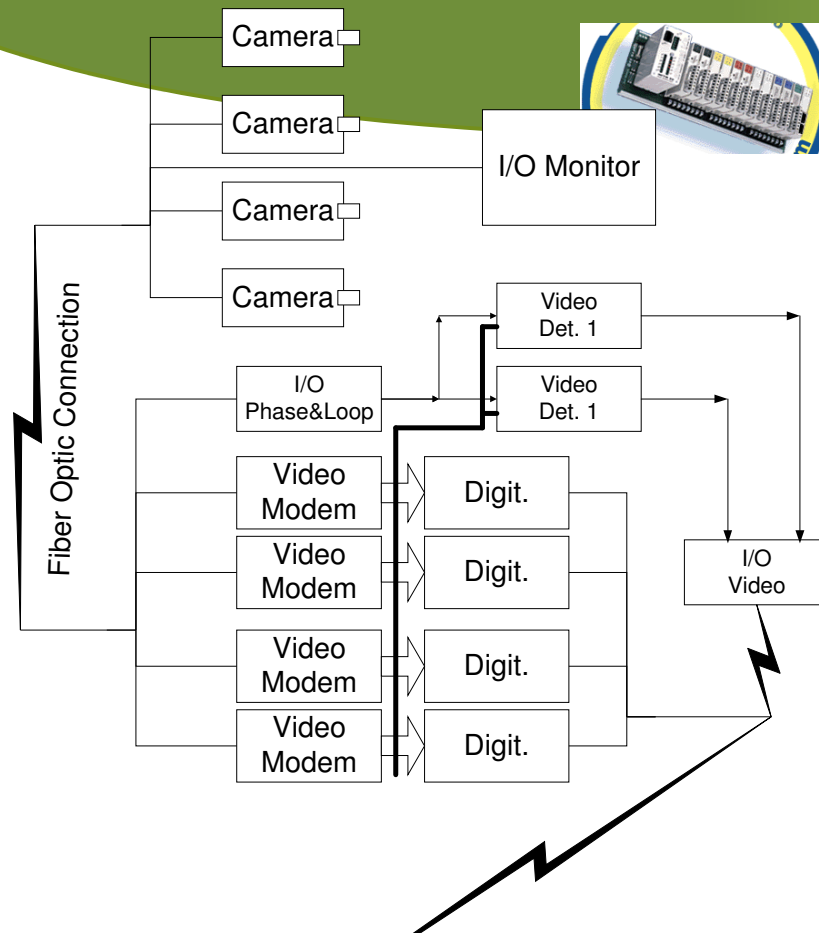
# Purdue Indoor Facility



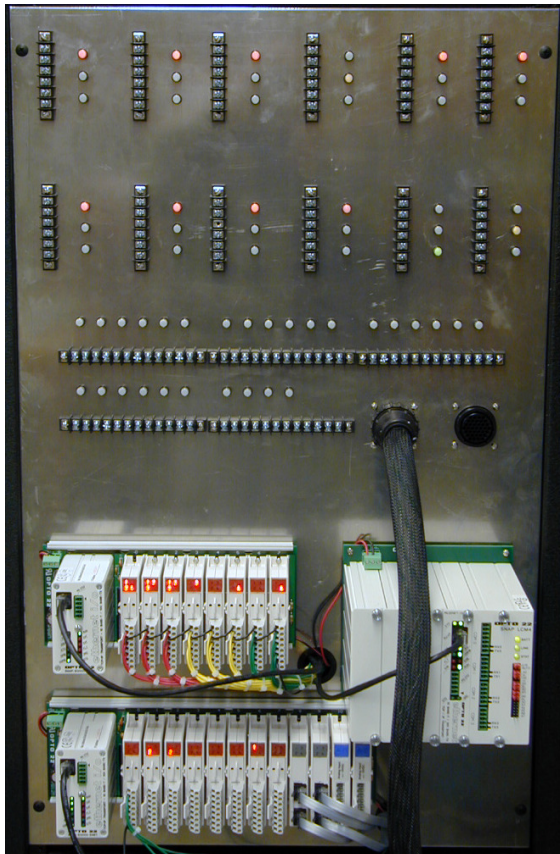
Photo: Indiana Joint Transportation Research Program



# Indoor End of Equipment

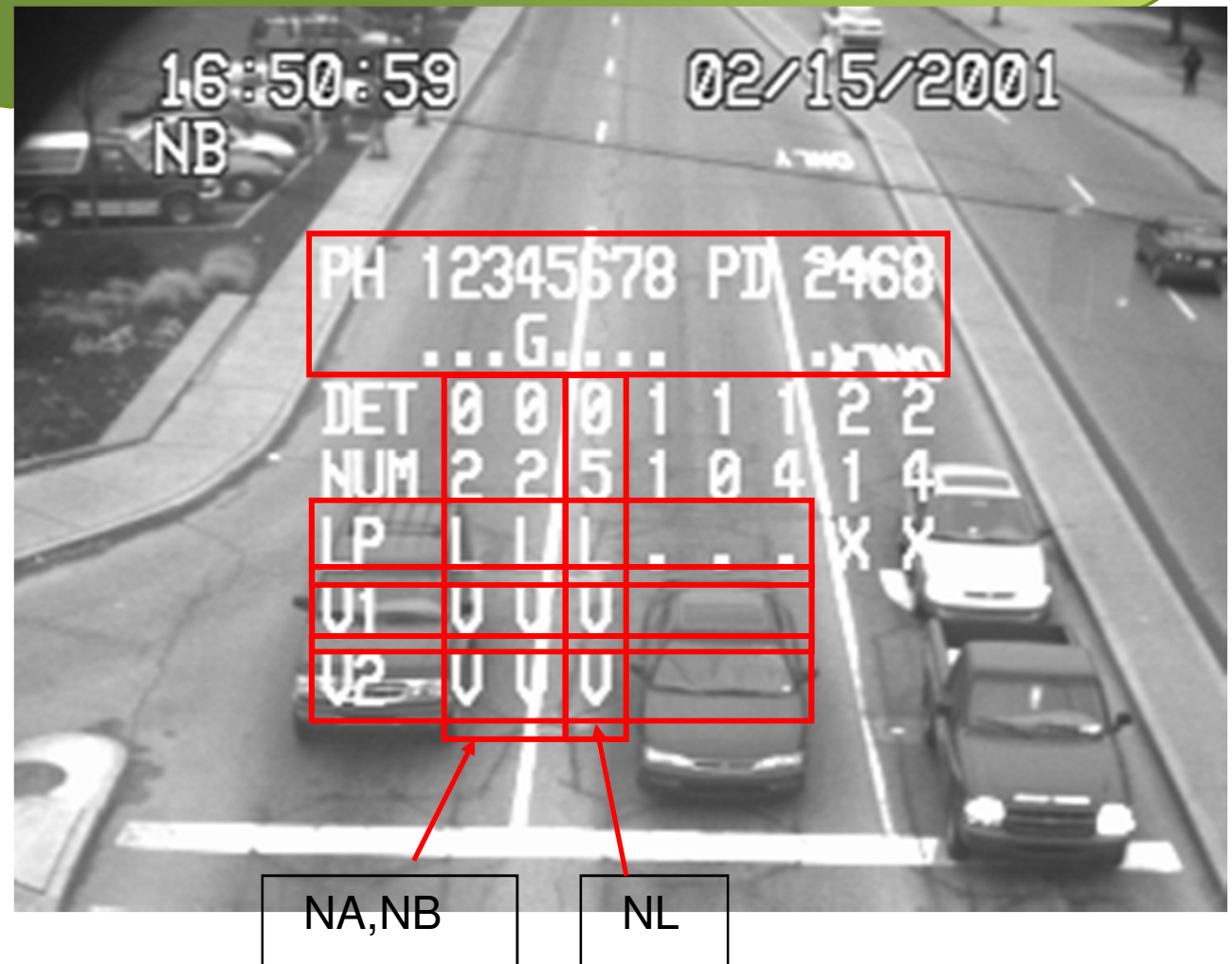


# Indoor Interface: Signal Status & Cabinet



# Pre-2004 Text Overlay- Phase calls and status

- Phase Indication
- ILD Status
- VID1 Status
- VID2 Status



# Early 2000's collaboration and problem solving

- ▶ Fall 2001 Purdue Completed study of video detection
  - ▶ Report identified some issues
  - ▶ INDOT verified issues in field



# 2002-2003 Indiana Detection Performance Concerns

- ▶ Summer 2002
  - ▶ Vendors proposed new design procedures for poles/arms/camera placement. **..Will it work?**
  - ▶ INDOT drafts design and performance specifications **..Will sensors meet it?**
  - ▶ INDOT plans for a test site with optimal camera placement **..With capabilities to measure performance!**
- ▶ Fall 2003
  - ▶ INDOT Constructs test facility in Noblesville to evaluate design and performance specifications
  - ▶ Laid the ground work for further research.

# High resolution intersection data “Instrumented Intersections” Built

- ▶ Noblesville, IN
  - ▶ Suburban, High speed
  - ▶ Completed summer 2003.
- ▶ West Lafayette, IN
  - ▶ Urban, Pedestrians
  - ▶ Completed summer 2004

# Lots of sensors!





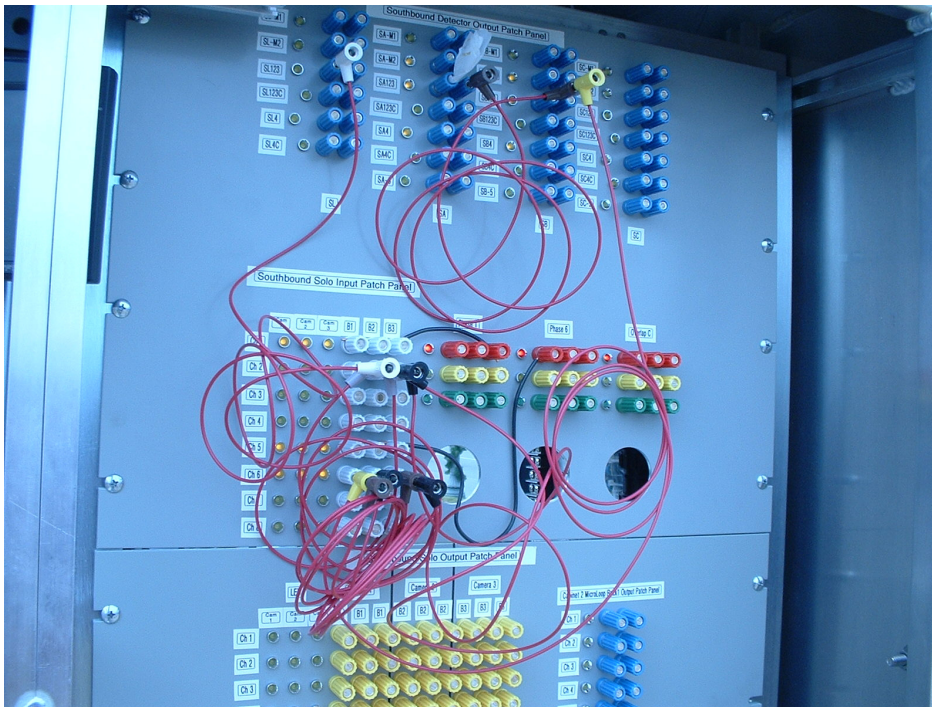
# Lots of Conduit!



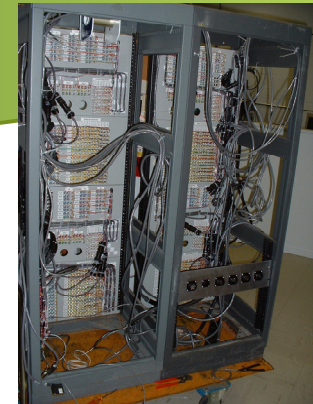
Photos: Indiana Joint Transportation Research Program



# Data collection- Switchboard



Patch Panel Switchboard



Homebrewed design/build

# Dual Cabinets



Front view (INDOT, Purdue)



Rear view (Purdue, INDOT)



# October 2006 State of the practice



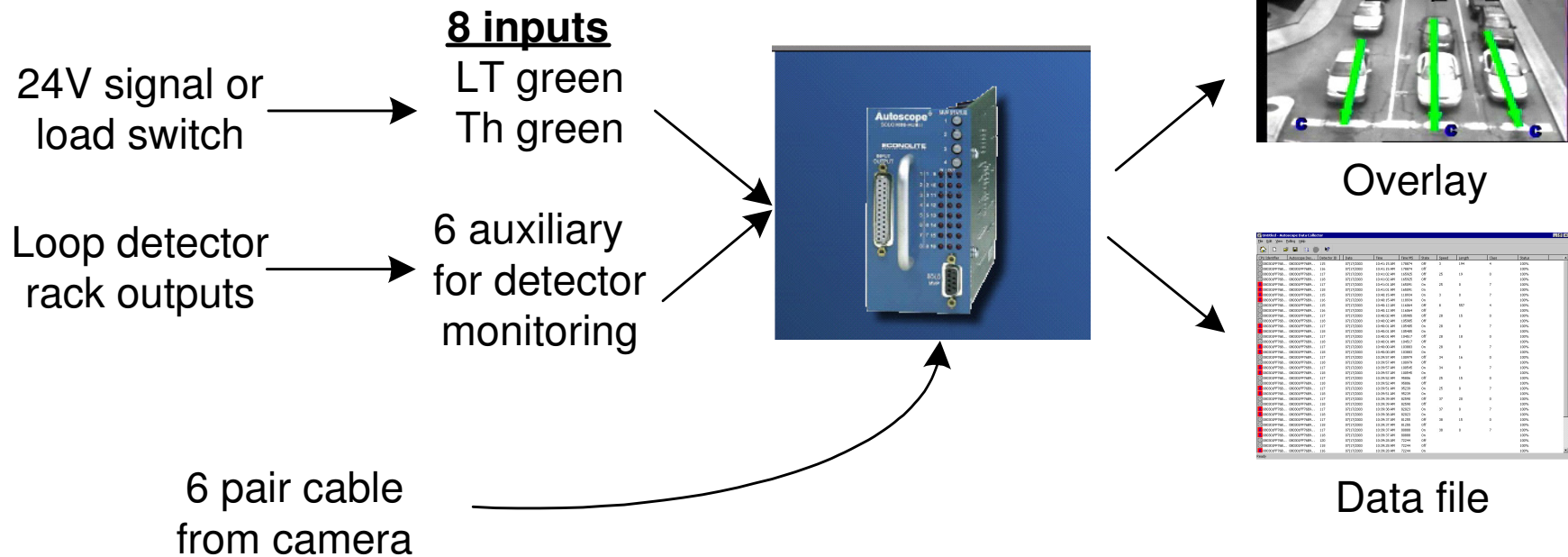
# Displays: 2000 Vs 2004



# 2003-2005 Intersection Subsystem Metrics

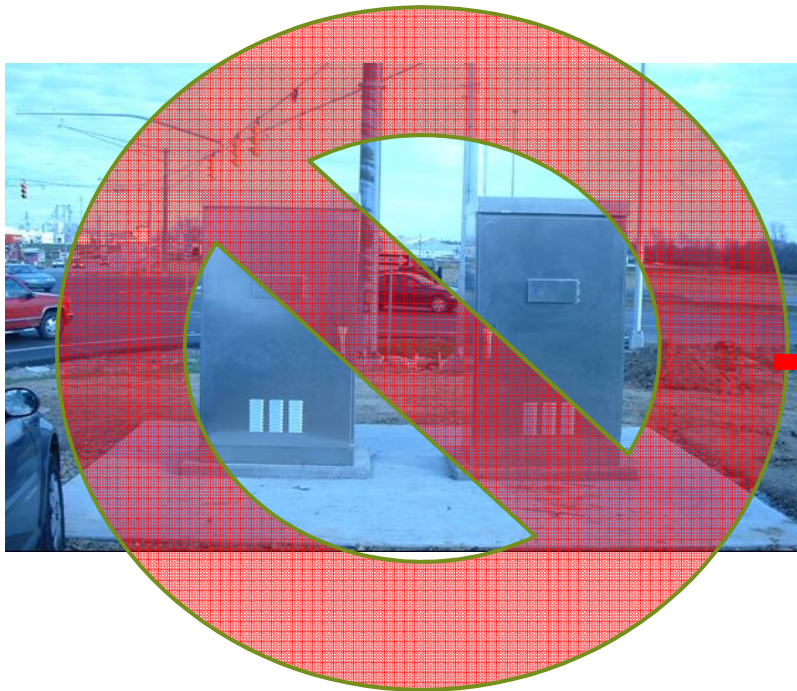
- ▶ Stopbar Detection
- ▶ Advance Detection
- ▶ Non-loop technologies
- ▶ Lane by Lane opportunities
- ▶ Controller features/ and functions

# 2004-2006 Dual Cabinet Data Collecting Procedure





Needed a scalable solution  
for all signal performance  
metrics



# 2008 Team Discussion of High Resolution Data Logging

Purdue

City Rep

INDOT

Siemens

Econolite

Peek





# Architecture



Log Events

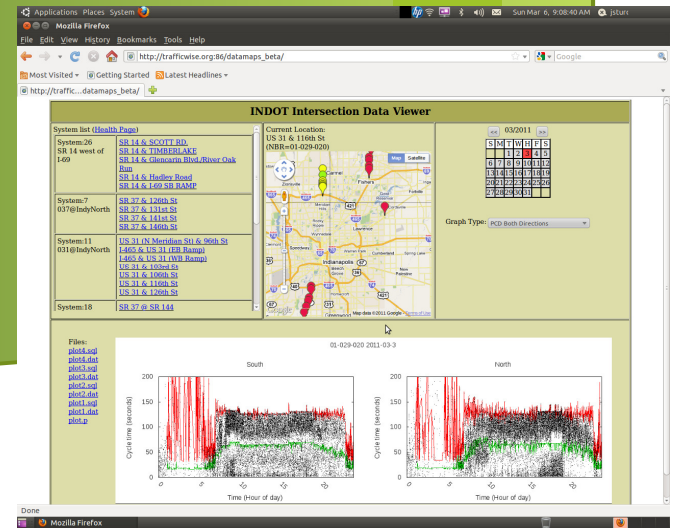
Standard  
Enumerations

100 ms

30 hours  
storage

Ethernet

FTP Protocol



Translate  
to CSV



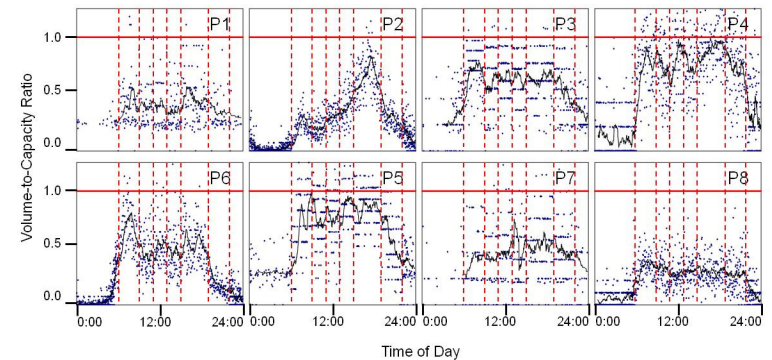
# NCHRP 3-79a

## Sept 2008-Dec 2009

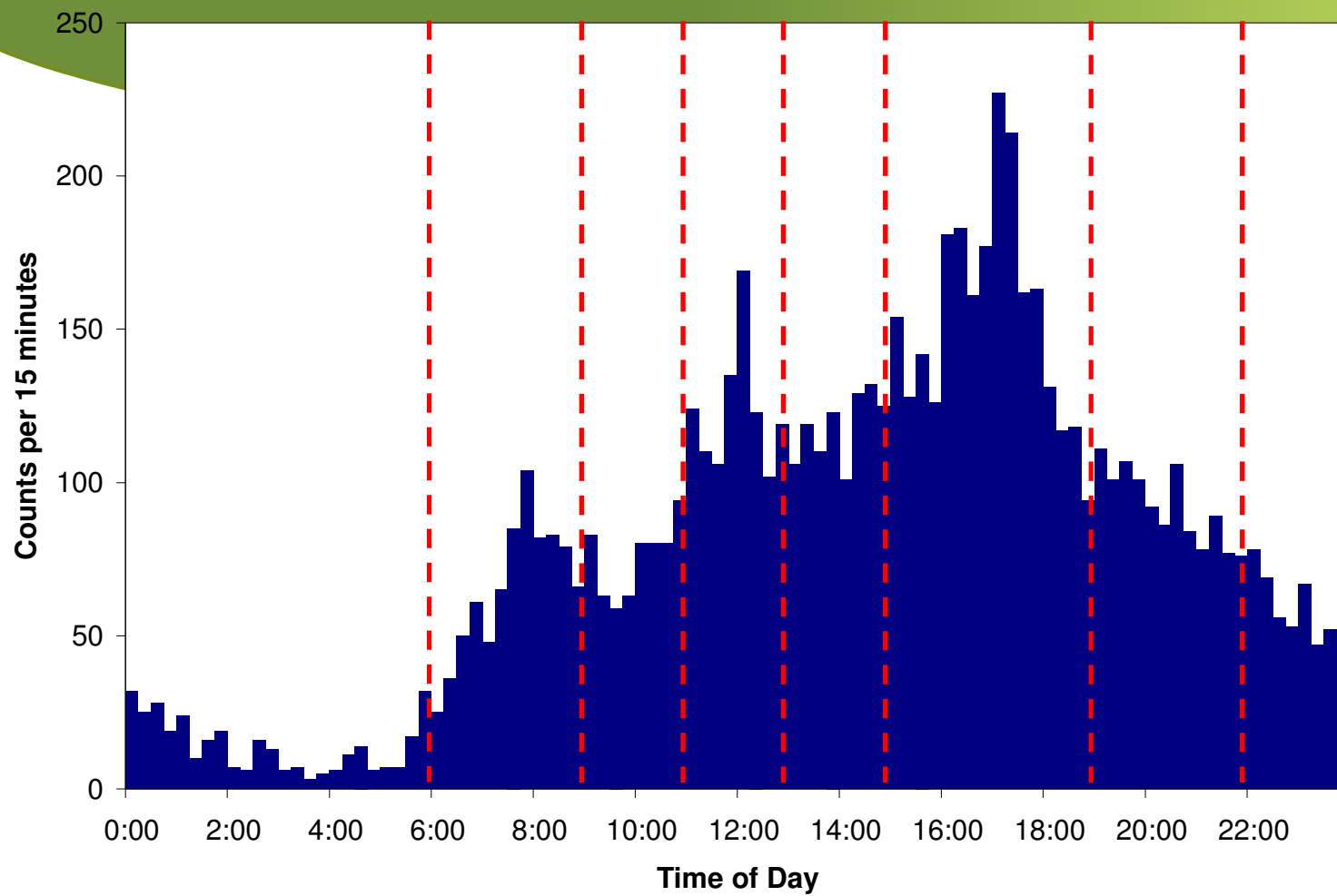
- ▶ Accepted Traffic Engineering Methods
- ▶ Applied to Traffic Controllers
- ▶ Picture book methods
- ▶ Surrogate for a trip to the field

# 2006-2008 Intersection Metrics

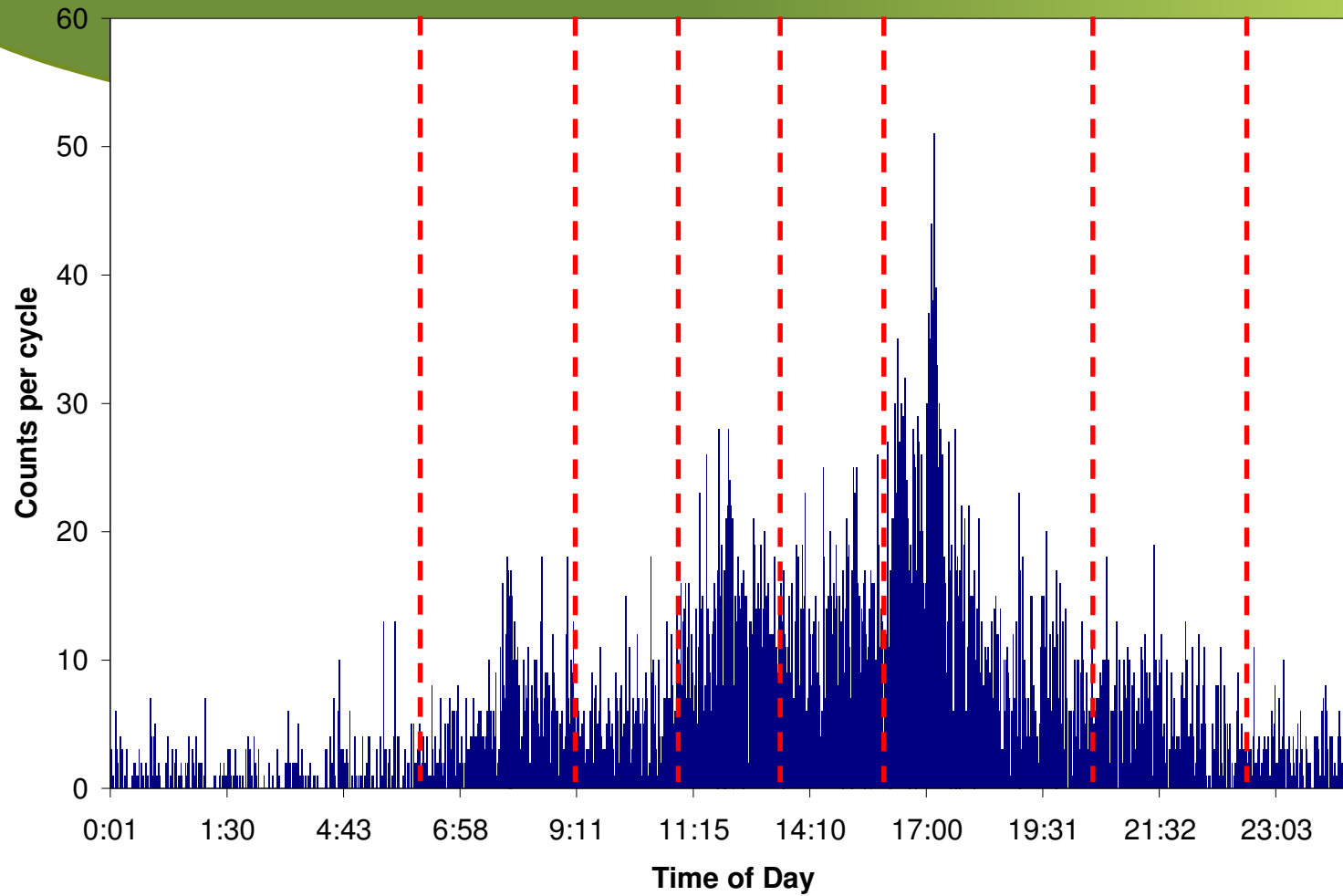
- ▶ Volume to Capacity
- ▶ Intersection Saturation
- ▶ Lane by Lane detection
- ▶ Actuated Coordination
- ▶ Counting detectors
- ▶ Advance detectors



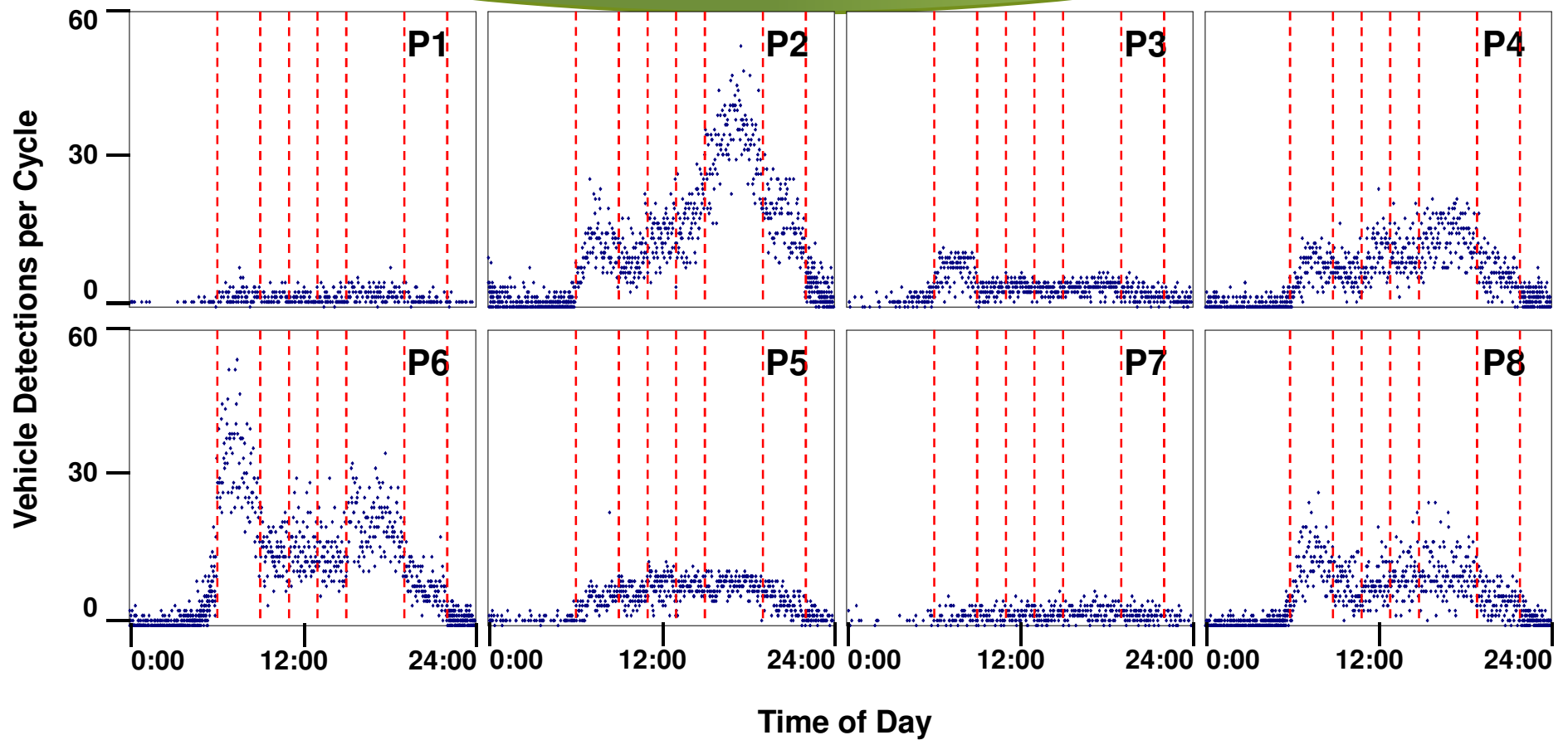
## 15-Minute Counts (Phase “n”)



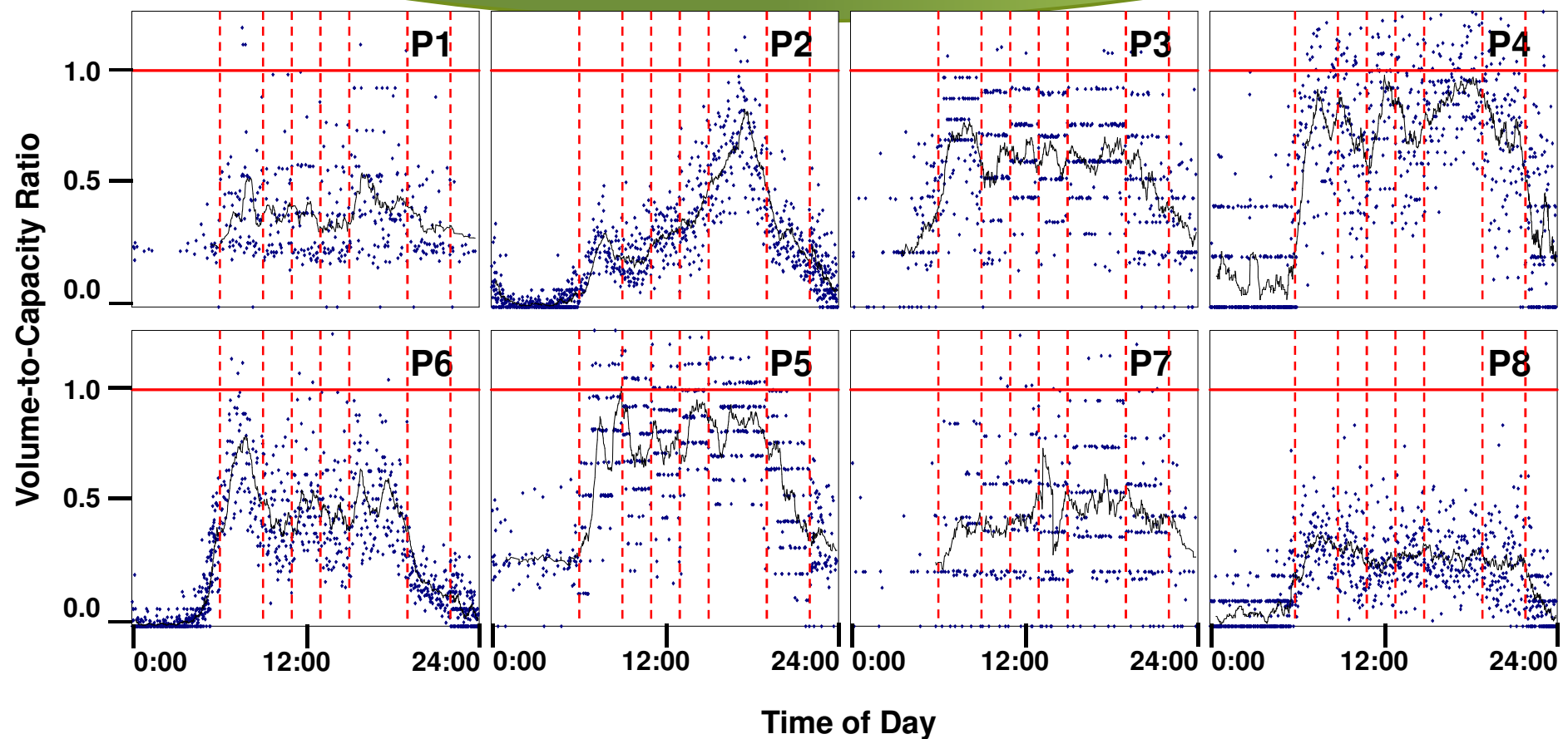
## Cycle-By-Cycle Counts (Phase “n”)



# 24 Hour Counts by phase



## V/C Ratios by Phase, 24 Hours





# 3/13/2008- Systemwide Metrics begin

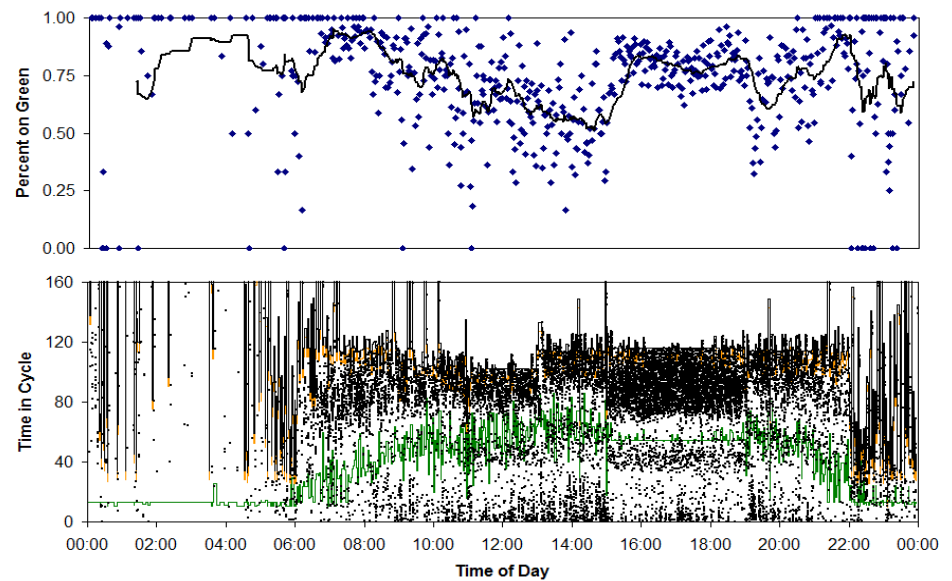
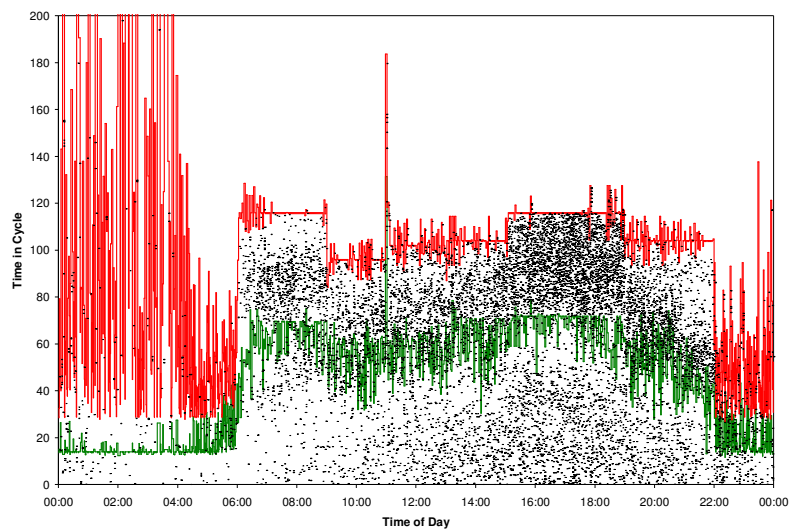
36



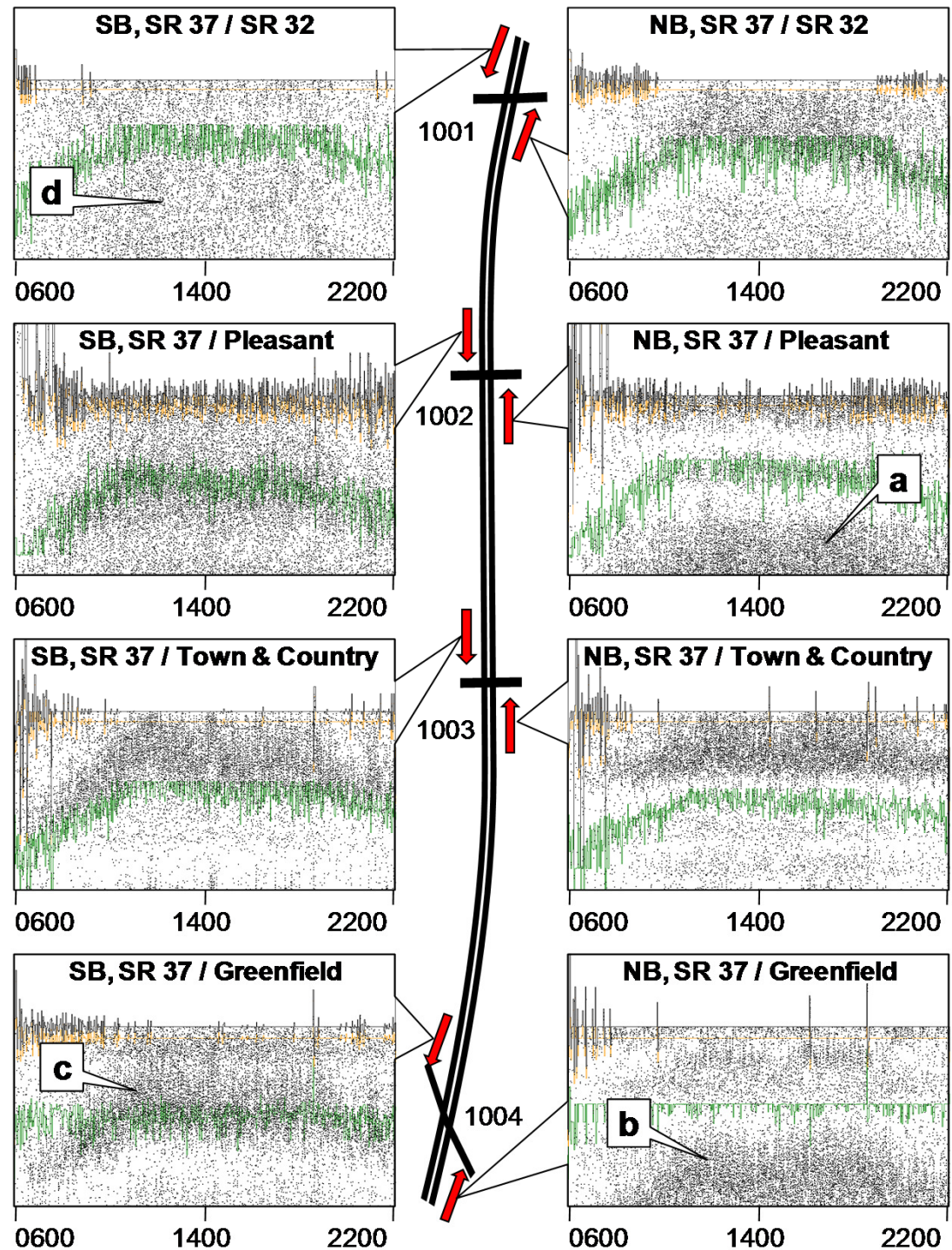
March 13, 2008



# Early PCD and POG- Created 4/30/08

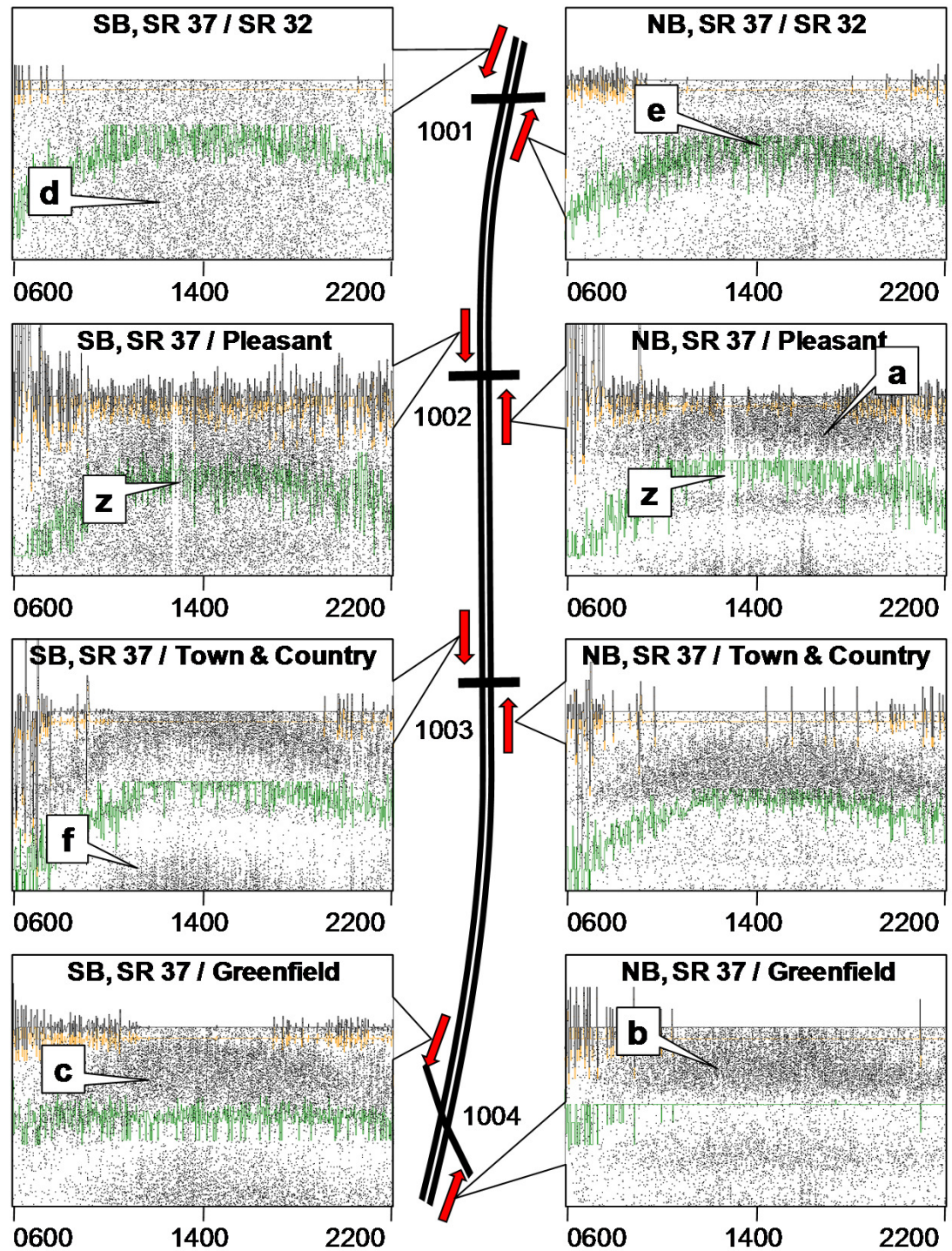


# Before





# After



# 2014: Enumeration Support by 5 vendors



- ▶ Econolite
- ▶ Peek
- ▶ Eagle
- ▶ Intelight
- ▶ Naztec (Beta)



<http://dx.doi.org/10.5703/1288284315018>

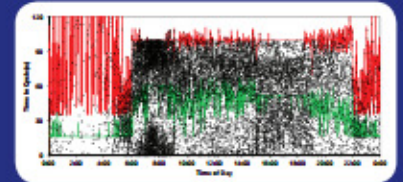


# 2014: Monograph documenting

- Volumes
- v/c ratios
- Pedestrian Service
- Preempt Operation
- PCD
- Link Pivot Optimization
- Split Failures (GOR/ROR)
- Probe Data Assessment Techniques
- Detector Mapping

## PERFORMANCE MEASURES FOR TRAFFIC SIGNAL SYSTEMS

*An Outcome-Oriented Approach*



Christopher M. Day, Darcy M. Bullock, Howell Li, Stephen M. Remias, Alexander M. Hainen, Richard S. Freije, Amanda L. Stevens, James R. Sturdevant, and Thomas M. Brennan



**PURDUE**  
UNIVERSITY



<http://dx.doi.org/10.5703/1288284315333>

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**INSTITUTE OF TRANSPORTATION ENGINEERS WEBINAR PART 1 – APRIL 9, 2014**

PRESENTED BY ROB CLAYTON, UDOT

# Utah Department of Transportation

## Brief Facts

- Population 2,800,000 (34<sup>th</sup> largest state)
  - 80% live along the Wasatch Front
- Land Area: 84,900 sq. mi (13<sup>th</sup> largest state)
- 1900 Traffic Signals in the State of Utah
  - 1150 owned and operated by UDOT
  - 750 owned and operated by cities /counties
- All partners share same ITS communications
  - 83% of UDOT signals connected
  - 71% of non-UDOT signals connected

# Quality Improvement Team (QIT) 2011

John Njord, former UDOT Director & former AASHTO President:



*“What would it take for UDOT’s  
Traffic Signal Operations to be  
World Class?”*

Njord, John. , Portrait. August 28, 2007. Retrieved from [udot.utah.gov](http://udot.utah.gov).



# What Defines World-Class Signals?



Signal  
Equipment  
Fully  
Functional










Signal  
Timing  
Optimal



Active  
Monitoring  
(SPMs)

# World Class Signals

## Best Practices Identified

World Class Best Practice	UDOT Practice	Grade
<b>SIGNAL OPERATIONS</b>		
Use of traffic signal control software to manage signal operations	UDOT uses Siemens i2 software, as do all of our partner agencies.	
Re-time signals every 30 to 36 months	Not possible with current resources. Efforts focus on obvious problems.	
Automated, real-time monitoring of signal system health and performance	None	
Performance measurement of signal operations	None	
Quality signal timing during construction	Not required or common. Large projects sometimes hire timing consultants.	
Quality signal timing during incidents, civic events, and weather events	Limited. There are no stated goals, or resources identified to support those goals.	
Implementation of adaptive signal operations	2 demonstration projects: SCATS in Park City; ACS Lite in Heber	

## Sample QIT Recommendations (July 2011)

*“Transition from reactive to proactive signal maintenance by increasing signal maintenance funding.”*

*“Require that communications and signal detection be maintained during construction projects, and require signals to be fully functional before turning them on.”*

*“Implement real-time monitoring of system health and quality of operations.”*

# Hats off!

Purdue University & Indiana DOT  
Paving the Way since 2005

## Automated Traffic Signal Performance Metrics

Darcy Bullock



Jim Sturdevant



Photos courtesy of Darcy Bullock and Jim Sturdevant



# Performance Metrics Goals

- ▶ Transparency and Unrestricted Access
  - ▶ No Special Software – No Passwords – No Firewalls
- ▶ Access for Everyone
  - ▶ Intra Agency
  - ▶ Consultants
  - ▶ Academia
  - ▶ MPO's
  - ▶ Local & Federal Governments
  - ▶ Executive Leaders
  - ▶ Public

# Automated Signal Performance Metrics

## *(How does it work?)*

1. Traffic signal controllers – 1/10<sup>th</sup> s. data logger time-stamps  
(Event Code, Parameter, Time Stamp)
  - Econolite (ASC3; Cobalt)
  - Intelight ATC
  - Naztec (Beta)
  - PEEK ATC
  - Siemens Linux / ATC
2. Communications or storage memory on controllers needed
3. Server to store hi-def Indiana enumerations
4. FTP connections made every 10 minutes to signals on system
5. Enumerations analyzed and graphed

**CENTRAL SIGNAL SYSTEM NOT USED OR NEEDED**

(The signal metrics are independent of any central signal system)

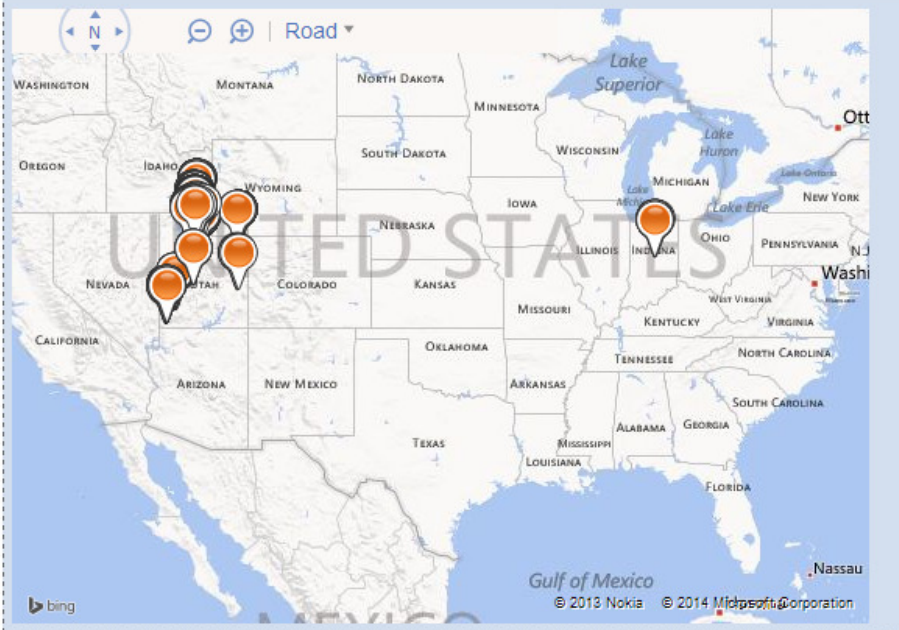
-> Signal Metrics

Selected Signal  
 No Signal Selected

Signals  
Region   
Metric Type   
Filter

**Signal List**

**Map**



Metric Settings

Metric Type

- ☐ Approach Delay
- ☐ Approach Volume
- ☐ Arrivals On Red
- ☒ Purdue Coordination Diagram
- ☐ Purdue Phase Termination
- ☐ Speed
- ☐ Split Monitor
- ☐ Turning Movement Counts

Time Y Axis Maximum

Volume Y Axis Maximum

Volume Bin Size

Dot Size

☒ Show Plan Statistics

☒ Show Volumes

[Export Data](#)

☐ Upload Current Data

Dates

Start Date

End Date

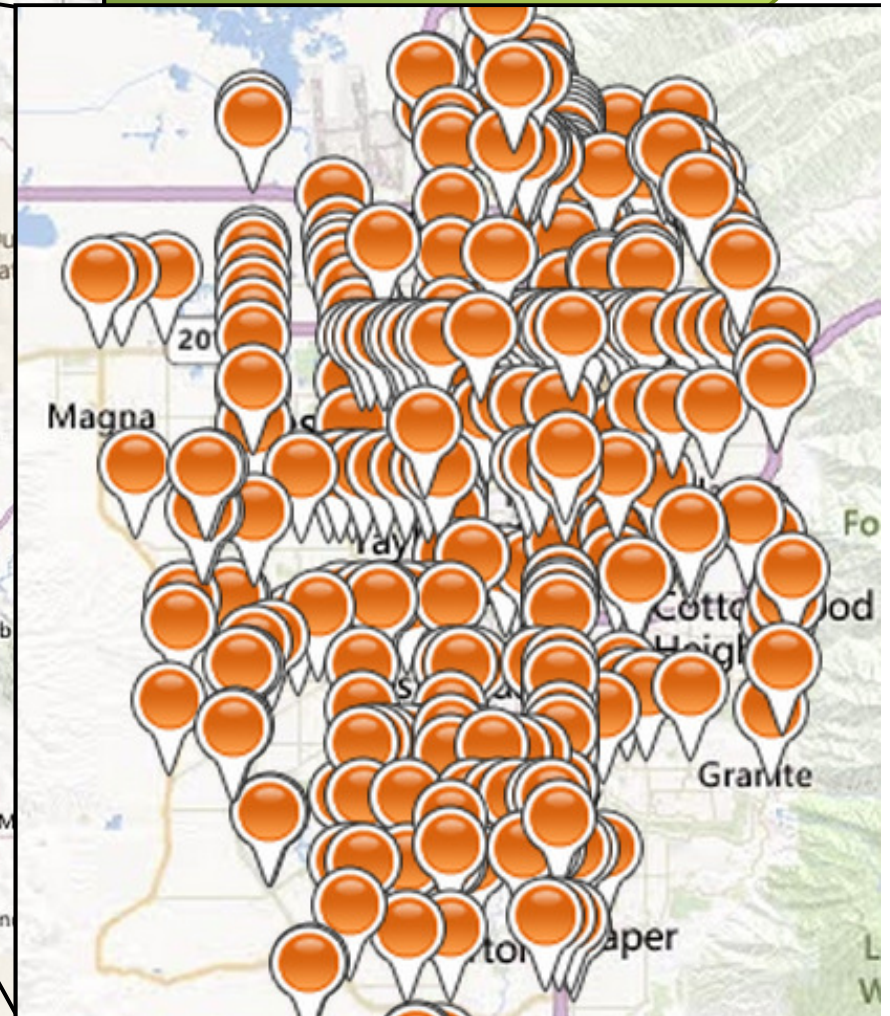
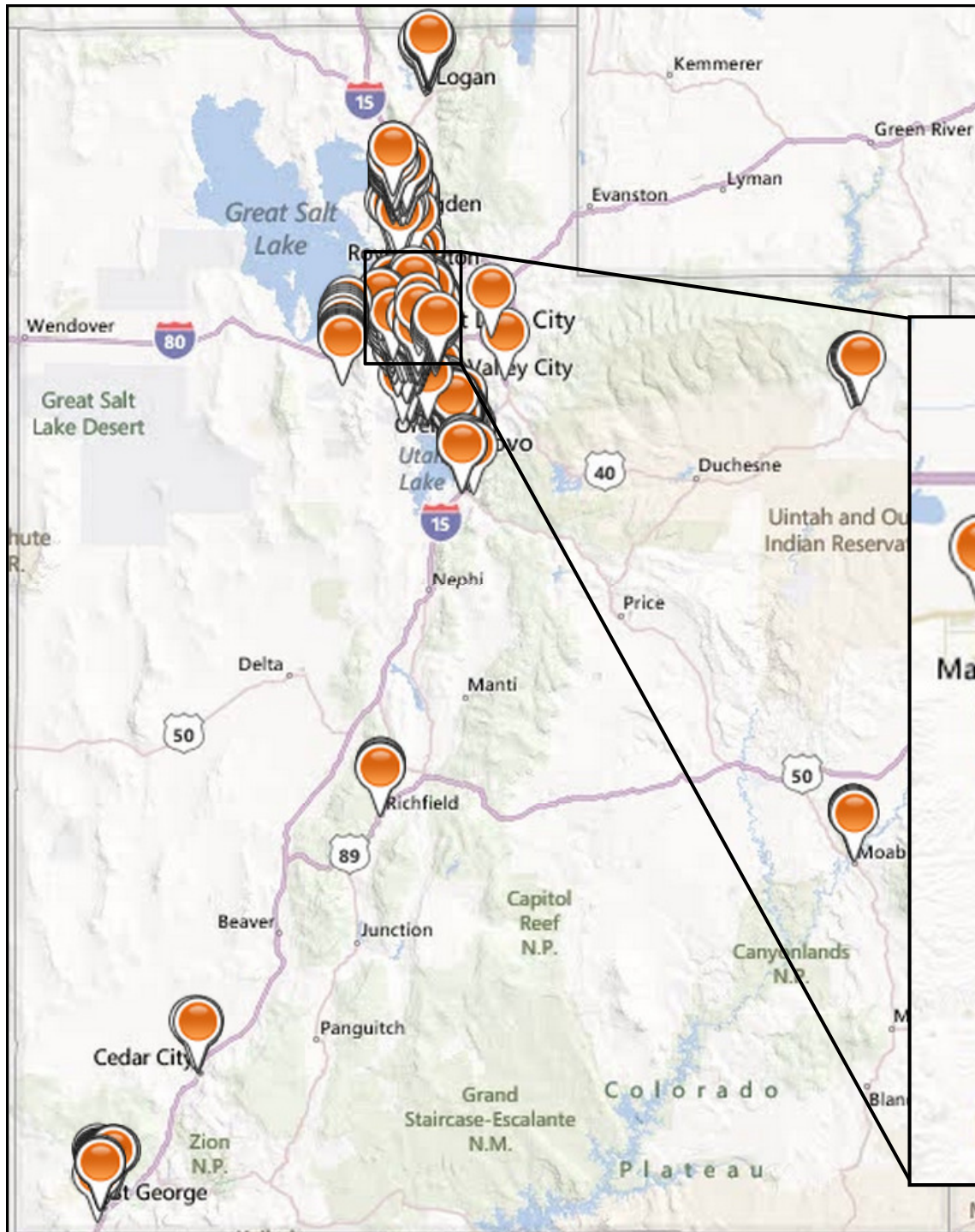
January 2014

Sun	Mon	Tue	Wed	Thu	Fri	Sat
29	30	31	1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	1
2	3	4	5	6	7	8

<http://udottraffic.utah.gov/signalperformancemetrics>



# Salt Lake Valley





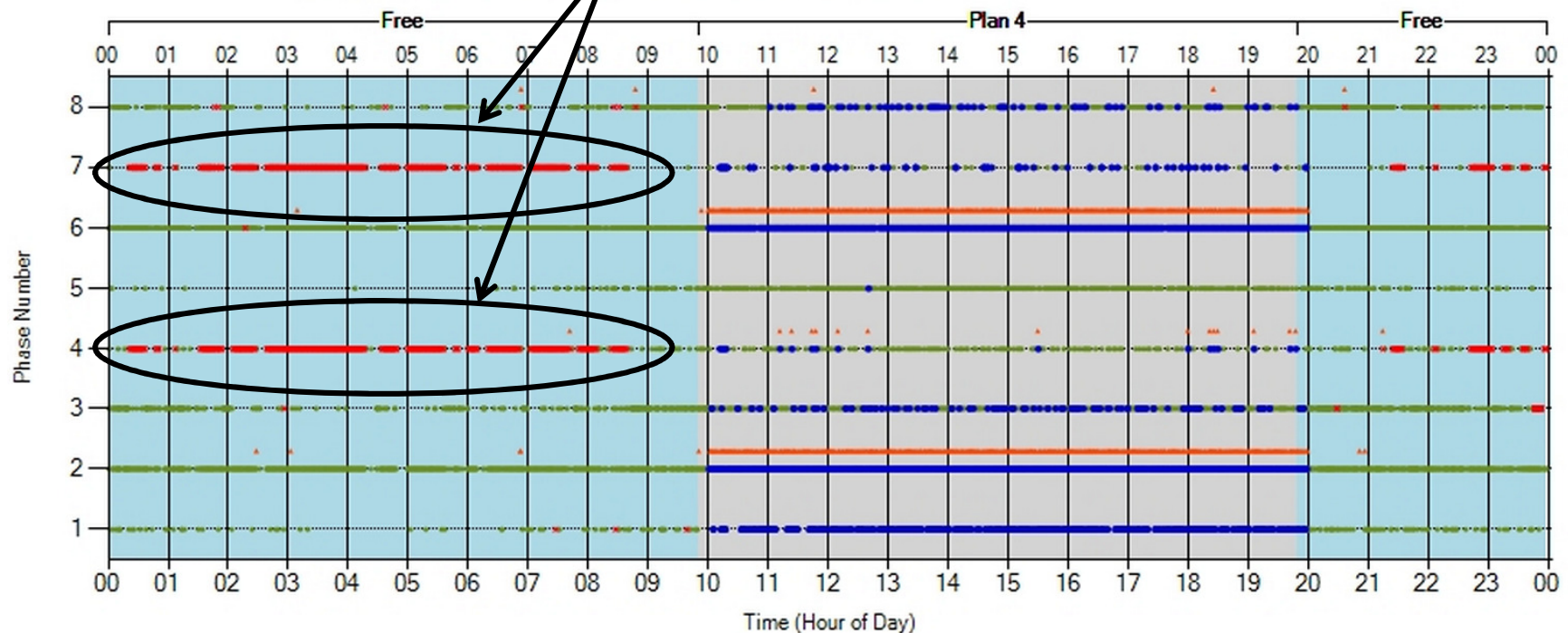
SPM Metric	Detection Requirements
Purdue Phase Termination	No detection needed or used
Split Monitor	No detection needed or used
Purdue Coordination Diagram	Setback count (350 ft – 400 ft)
Approach Volume	Setback count (350 ft – 400 ft)
Approach Delay	Setback count (350 ft – 400 ft)
Arrivals on Red	Setback count (350 ft – 400 ft)
Executive Reports	Setback count (350 ft – 400 ft)
Approach Speed	Setback count w/ speed (350 ft – 400 ft)
Turning Movement Counts	Stop bar (lane-by-lane) count
Purdue Travel Time Diagram	Probe travel time data (GPS)

# Phases 4 & 7 Maxing Out Only at Night

**Before Condition:** Riverdale Road & 700 West, Ogden, UT – Sunday, March 24, 2013

Video Detection not working well at night

Minor street through & left turn max out at night only



● Gapout

● Max out

● Force off

● Pedestrian activation (shown above phase line)

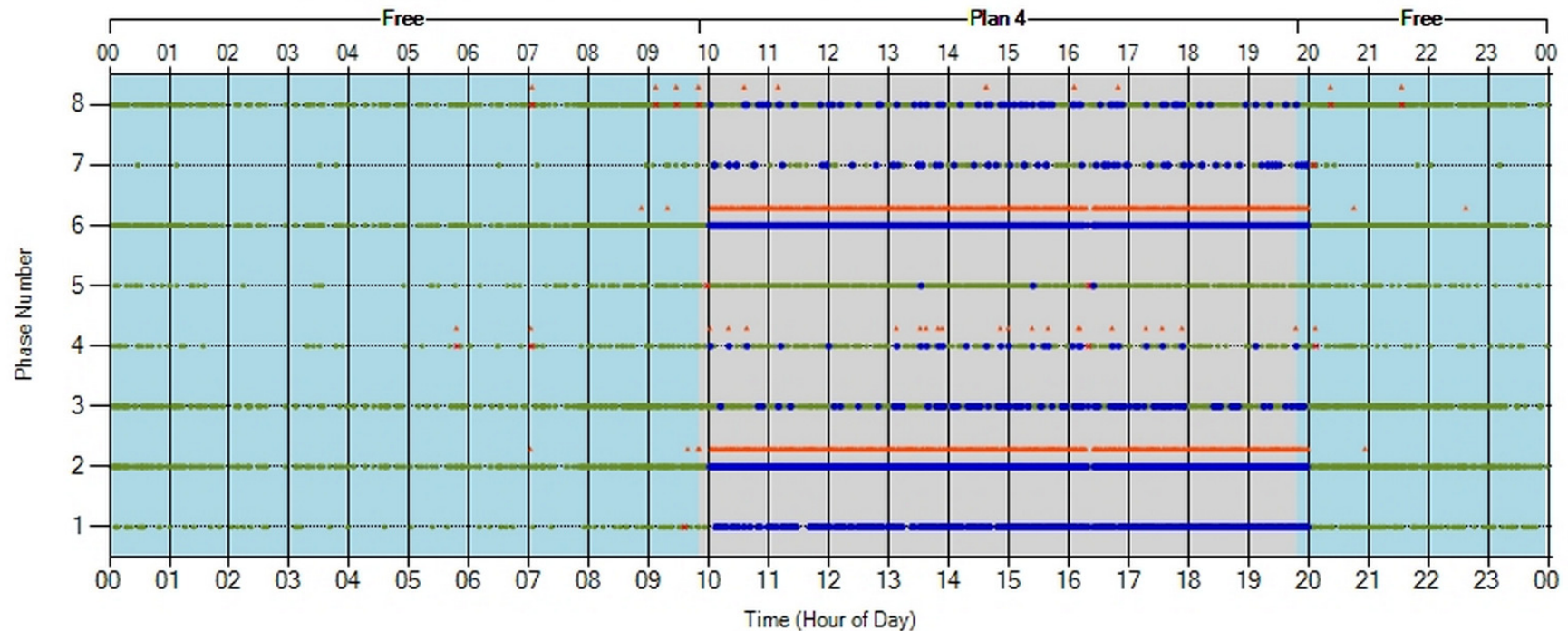
○ Skip

**Metric: Purdue Phase Termination**

# Phases 4 & 7 Maxing Out at Night - Fixed

**After Condition:** Riverdale Road & 700 West, Ogden, UT – Sunday, March 31, 2013

Video Detection replaced with a different detector technology



● Gapout

● Max out

● Force off

● Pedestrian activation (shown above phase line)

○ Skip

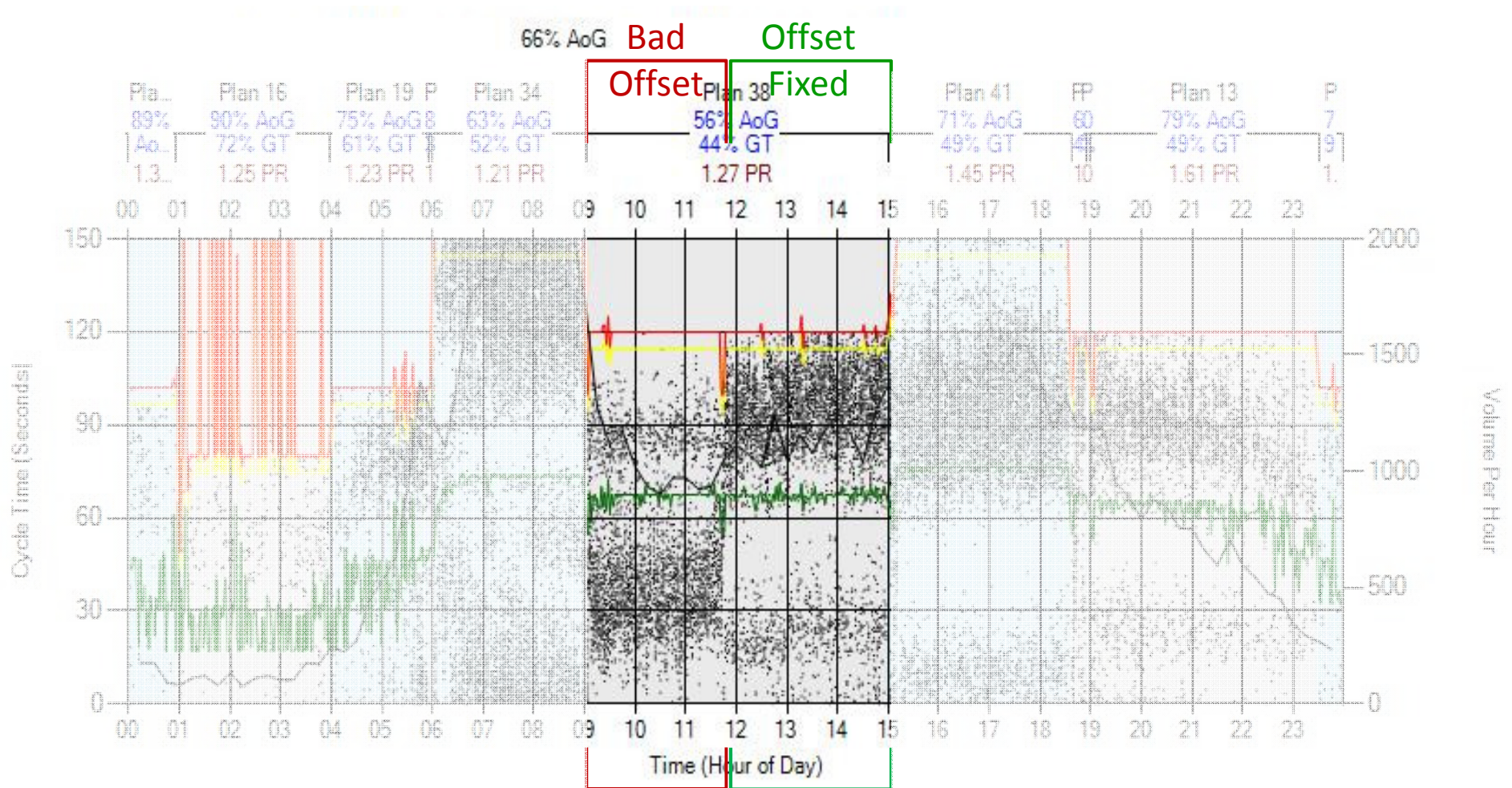
**Metric: Purdue Phase Termination**



# Quality of Progression

NB Bangerter Hwy: New Off-Peak Coordination Plan (38) installed on March 7, 2013

Bangerter & 5400 S Intersection



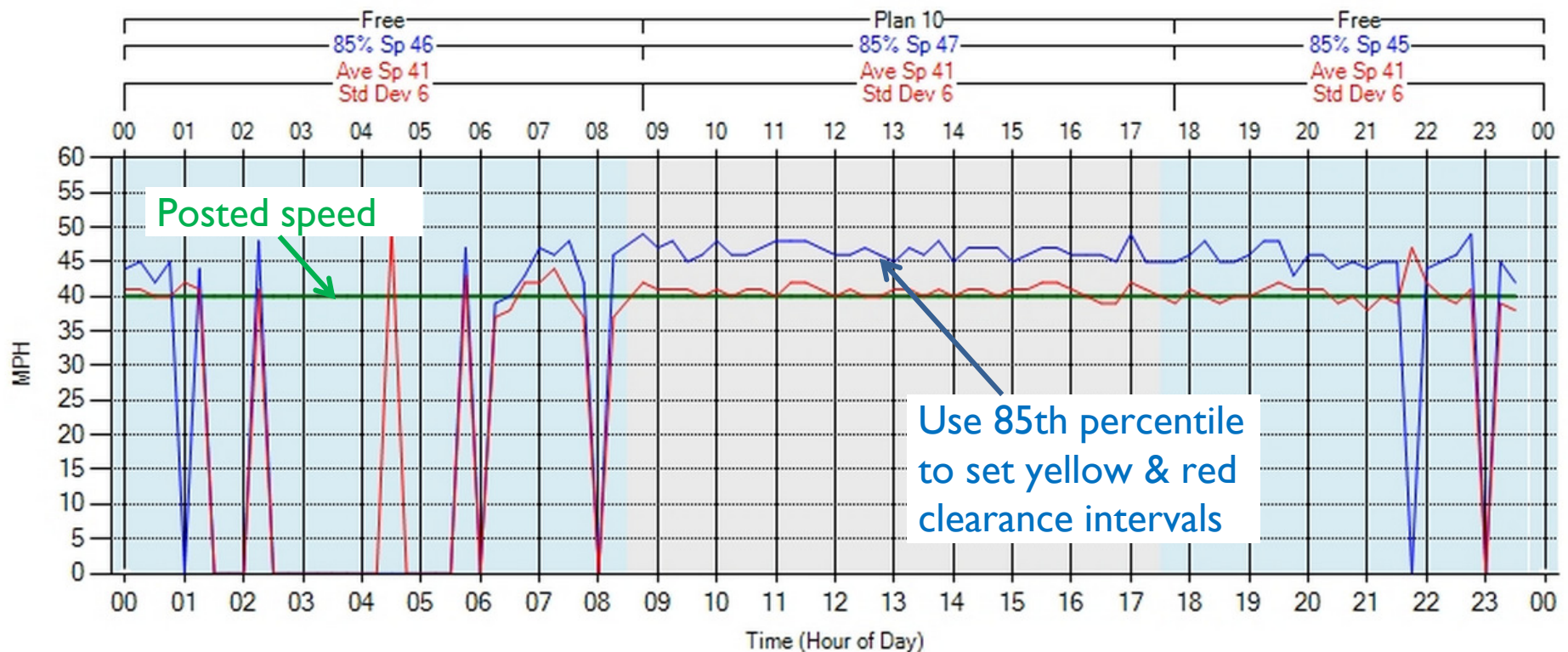
Metric: Purdue Coordination Diagram



# Setting Yellow and All-Red using 85<sup>th</sup>-tile Speeds

Yellow Changed from 4.0 to 4.5 seconds

**Location:** NB Bluff St & 100 South, St George, UT – Sunday, May 5, 2013



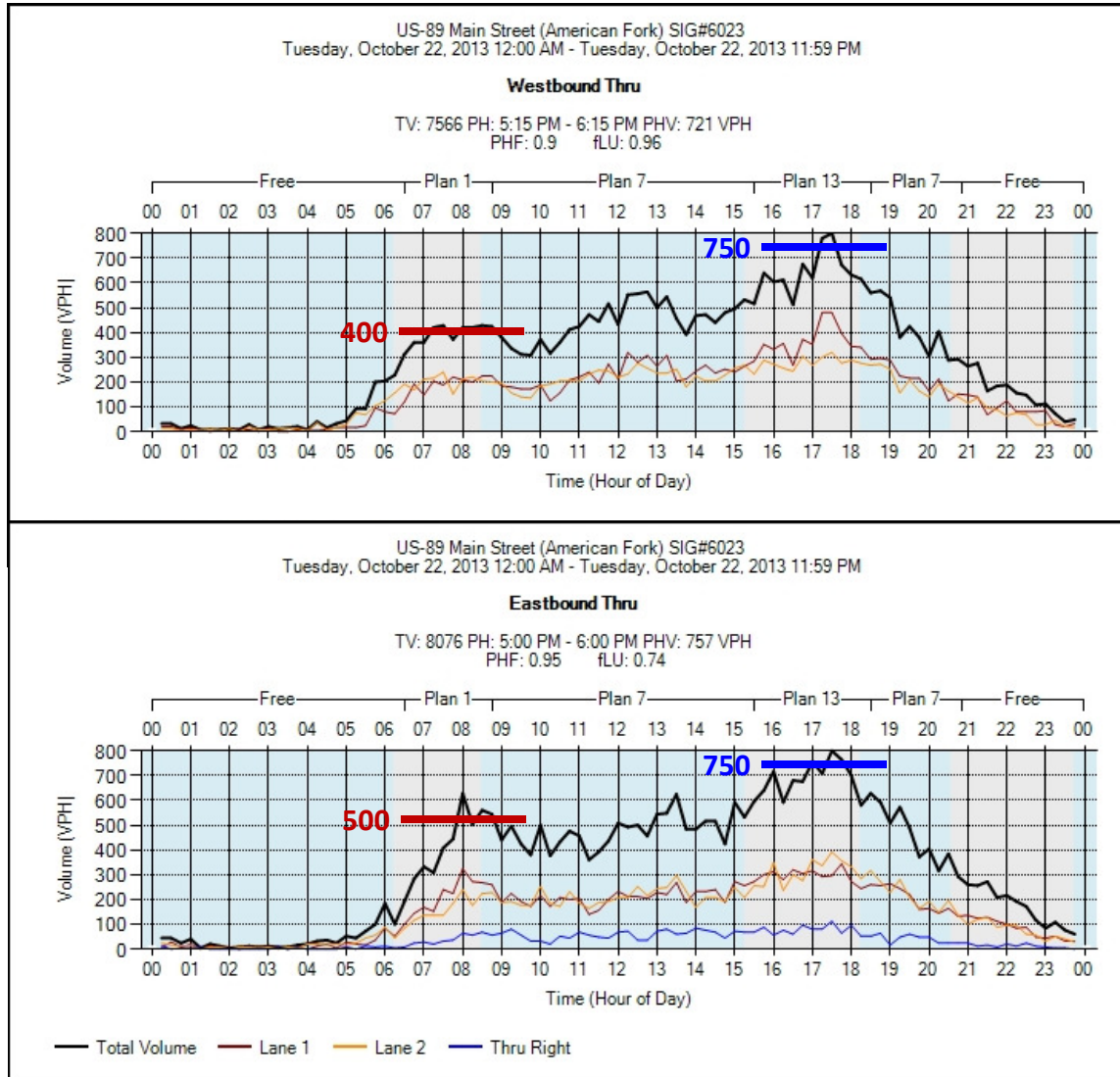
— Posted Speed  
— 85th Percentile Speed  
— Average MPH

**Metric: Approach Speeds**

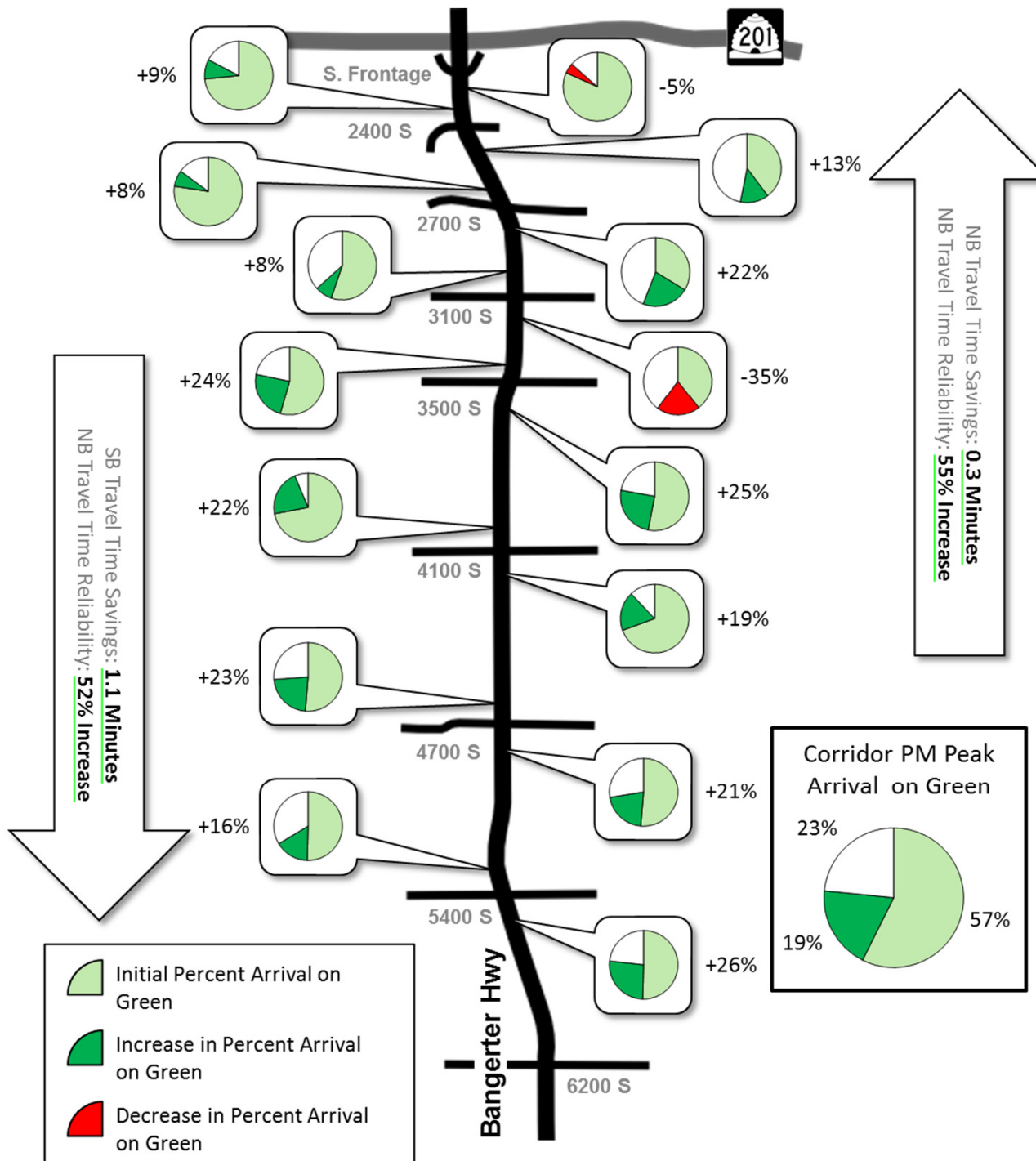
# Lane-by-Lane Volume Counts

Use for models, adjust splits, coordination balance, traffic studies

**Location:** US-89 & Main St, American Fork, UT – Tuesday, October 22, 2013



**Metric: Turning Movement Counts**



## Before and After Coordination

Corridor: Bangerter Hwy, SLC

To/From: SR-201 - 6200 South

Date: March 2013

Time Period: PM Peak

Results:

- 19% Increase Arrival on Green
- NB TT Savings: 0.3 Minutes
- NB Reliability: 55% Increase
- SB TT Savings: 1.1 Minute
- SB Reliability: 52% Increase

# Executive Reports

Are things getting better, getting worse or staying the same?



## Signal Performance Metrics

[Charts](#)
[Reports](#)
[Log Action Taken](#)
[Links](#)
[FAQ](#)

-> Executive Reports-> Average Daily Summary

Report

Report Type: Full Report

Dates

Start Date: 12/2/2013 October 2013

End Date: 12/3/2013 November 2013

Run Report

### Statewide Summary

Arrival on Red		Delay		Volume	Intersections	
Percent	Platoon Ratio	Daily Average Per Approach (hrs)	Average Per Veh (sec)	Daily Average Per Approach	Total	Number Of Approaches
29 %	1.01	21	7.47	10,329	289	571

### Region Summary

Region	Arrival on Red		Delay		Volume	Intersections	
Name	Percent	Platoon Ratio	Daily Average Per Approach (hrs)	Average Per Veh (sec)	Daily Average Per Approach	Total	Number Of Approaches
1	25 %	0.96	13	4.26	10,859	72	137
2	32 %	1.04	28	9.48	10,739	118	239
3	29 %	1.01	20	7.41	9,713	92	183
4	28 %	0.94	6	3.63	5,529	7	12

	Corridor	Arrival on Red		Delay		Volume	Intersection
	Name	Percent	Platoon Ratio	Daily Average Per Approach (hrs)	Average Per Veh (sec)	Daily Average Per Approach	Number Of Approaches
Region 1	US-89 NB	19 %	0.95	9	1.89	17,668	2
	US-89 SB	22 %	0.95	12	2.56	17,543	4
	Riverdale NB/EB	26 %	0.99	26	5.98	15,935	11
	Riverdale SB/WB	25 %	0.99	25	5.96	15,159	11
	SR-126 SB	22 %	0.99	11	3.80	9,959	11

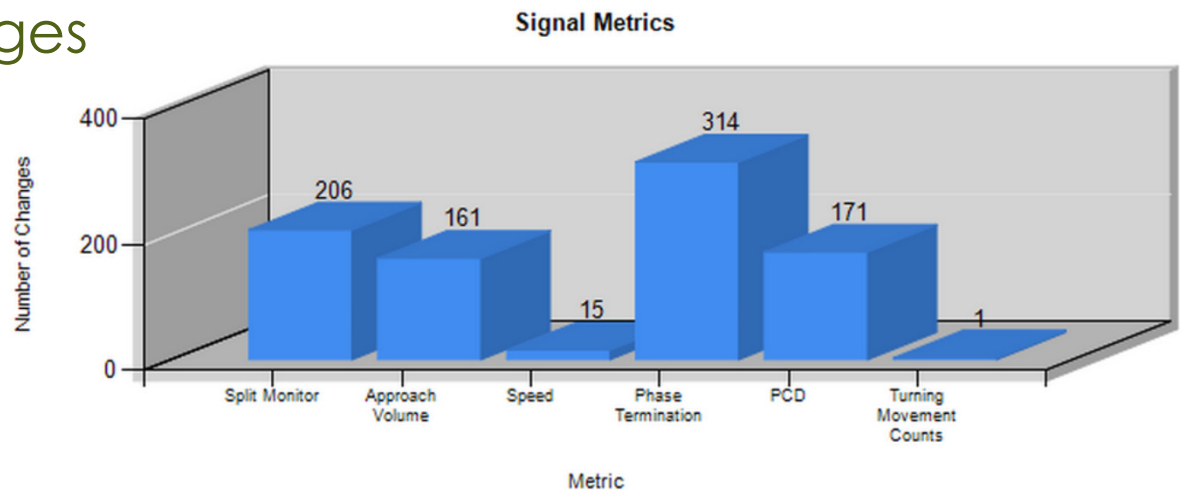
**Metric: Executive Reports**



# Intersection Adjustments using SPMs

January 1, 2013 to December 31, 2013

- ▶ Adjustments made at 325+ intersections
  - ▶ 185 work orders for detector problems
  - ▶ 40 offset adjustments
  - ▶ 5 time-of-day corrections
  - ▶ Several other changes



**Metric: Usage Reports**

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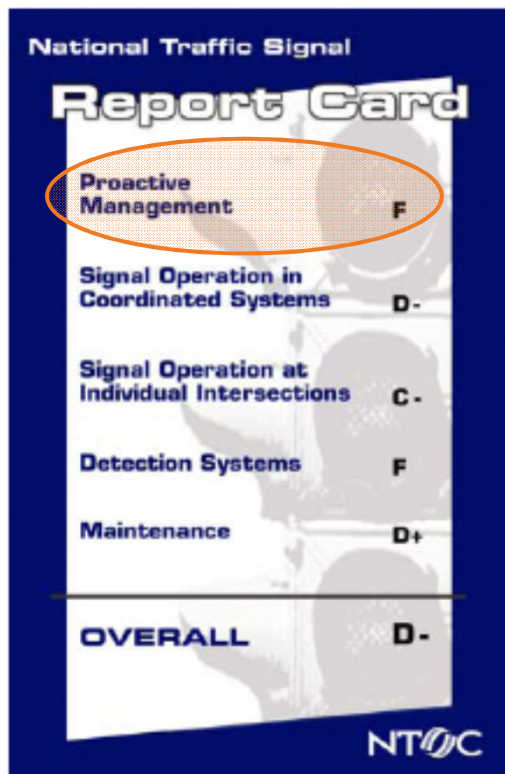
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PRESENTED BY RICK DENNEY, FHWA, APRIL, 9 2013

# FHWA Perspective

- ▶ Traffic Signal Report Card
- ▶ Traffic Signal Management (Good Basic Service)
- ▶ Asset Management
- ▶ Capability Maturity
- ▶ Planning for Operations and Systems Engineering
- ▶ Performance Management, Importance and Principles

# Traffic Signal Report Card





# Traffic Signal Management

- ▶ Good Basic Service
  - ▶ Objectives-Driven
  - ▶ Outcome-Oriented
  - ▶ Focused on what is important
    - ▶ What achieves agency vision and goals
    - ▶ What achieves motorist expectations

# Good Basic Service

- ▶ Demands understanding of performance
  - ▶ For demonstration that program supports agencies vision and goals
  - ▶ For guidance to staff for day-to-day actions
  - ▶ For managing expectations
  - ▶ For achieving all that can be achieved

# Asset Management

- ▶ Signal timing database ***is an asset***
  - ▶ It costs money and resources to develop
  - ▶ It costs money and resources to maintain
  - ▶ **Frequency** and **type** of maintenance are key issues...
  - ▶ ...that cannot be determined without understanding performance

# Capability Maturity Model (SHRP2 Program)

- ▶ The **best agencies** depend on brilliant staff (Level 1), but are vulnerable to staff loss
- ▶ Mitigate that risk by developing brilliant processes (Level 2), but then vulnerable to becoming slaves to process
- ▶ Mitigate that risk by **measuring process effectiveness** (Level 3), and
- ▶ Optimizing processes against measurement (Level 4)



# Planning for Operations and Systems Engineering

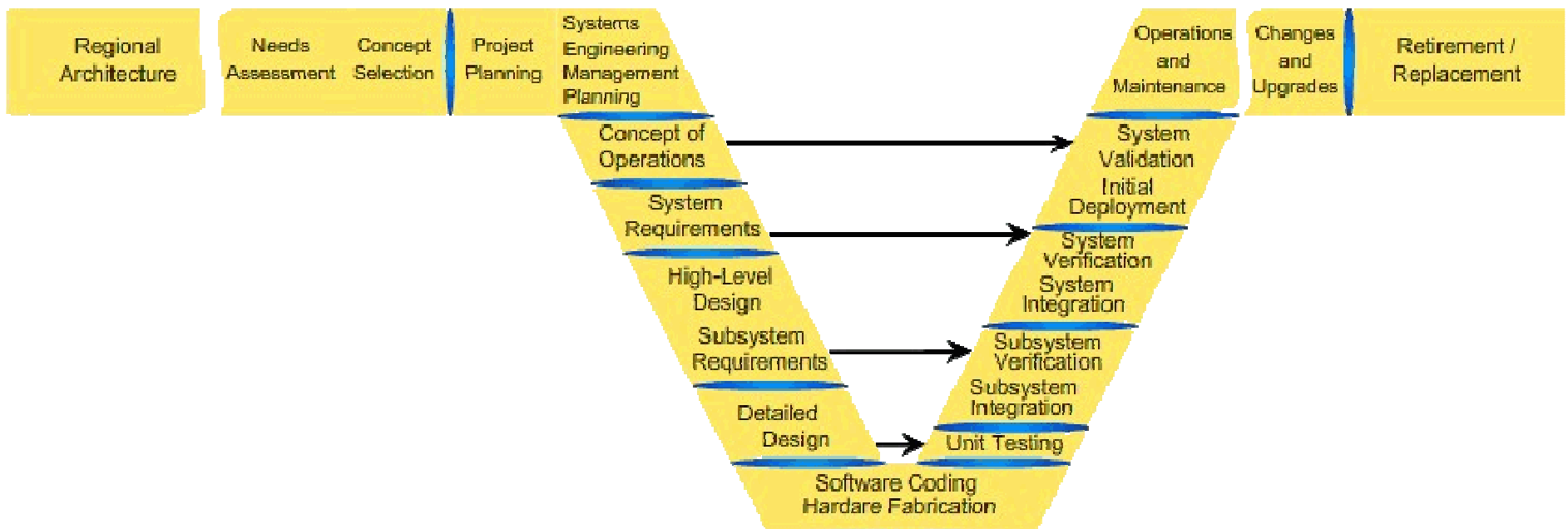
- ▶ Planning for Operations
  - ▶ Objectives-Driven
  - ▶ Performance measured against objectives
- ▶ Systems Engineering (23CFR940.11)
  - ▶ Needs and Requirements-Driven
  - ▶ Projects verified and validated against requirements and needs
  - ▶ Include performance measurement as use case

# Planning For Operations Process



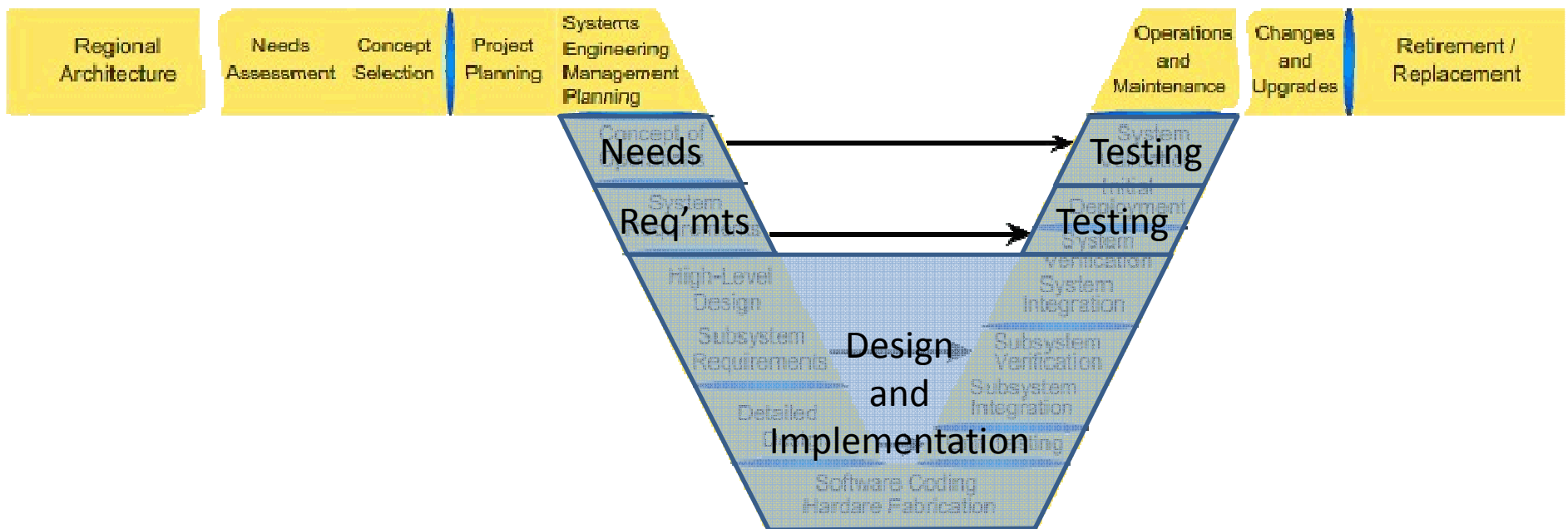
# Systems Engineering Process

- Systems Engineering Guidebook



# Systems Engineering Process

- Systems Engineering Guidebook

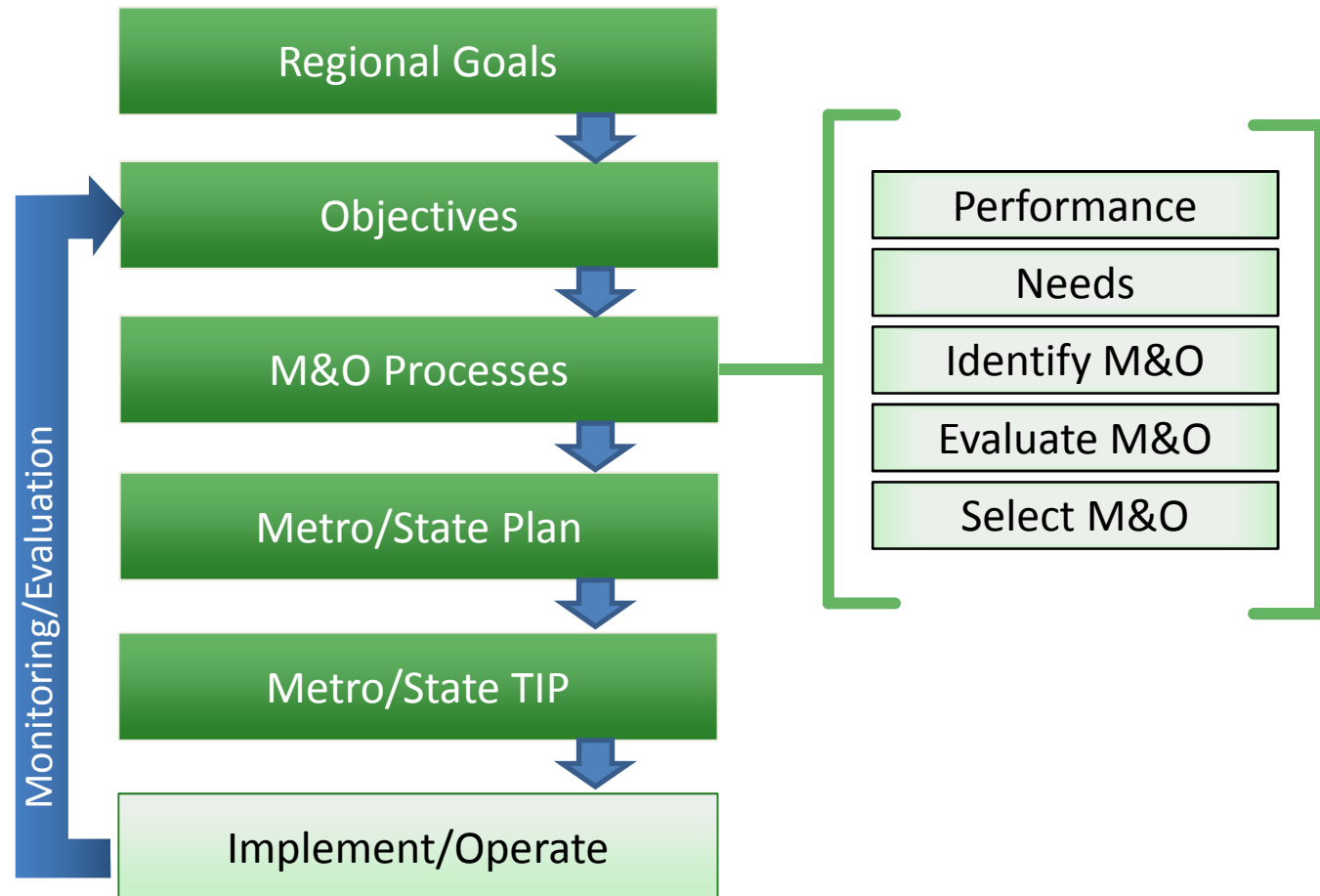




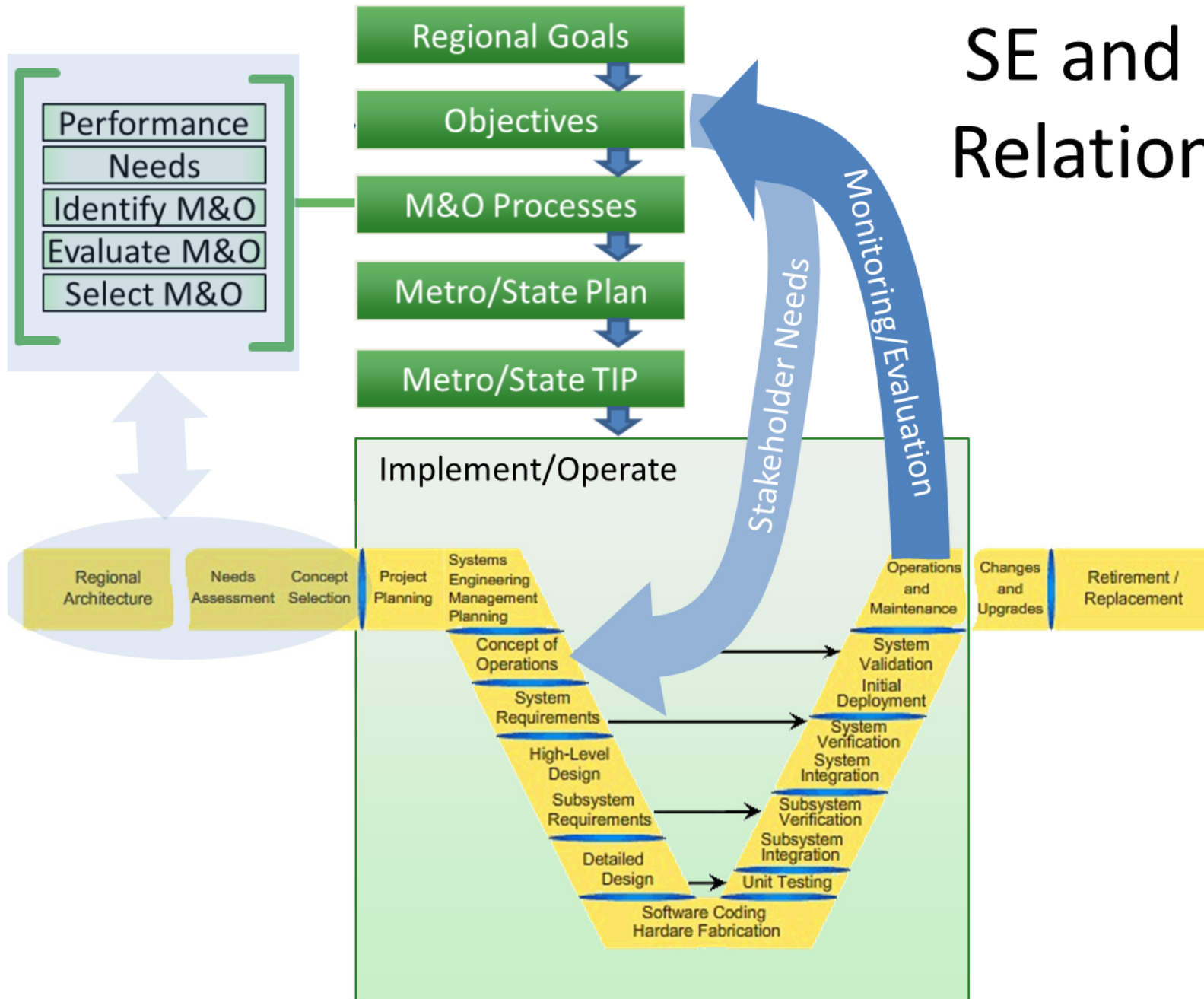
# Planning For Operations Process



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# SE and P4O Relationship



# Importance

- ▶ When resources are constrained:
  - ▶ Data is everything
  - ▶ Demonstrating effectiveness key to program sustainability and funding
  - ▶ Increasing use of performance basis for funding decisions
- ▶ Resources are always constrained



# Effective Performance Measurement

- ▶ Is sensitive to agency goals
  - ▶ But that's not enough by itself
- ▶ Demonstrates achievement of objectives
  - ▶ Both funding objectives and engineering objectives
- ▶ Guides day-to-day operational decisions
  - ▶ Provide **actionable** operational assessment
- ▶ Guides decisions on *frequency* and *type* of operational resource expenditure



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# Thank you.

QUESTIONS & ANSWERS FOR OUR PRESENTER'S?

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